# ALABAMA'S UNRESOLVED INFATE CRISIS

A Report on the Unintended Impact of the 2015 Prison Reform Act



# ALABAMA COUNTIES' PERSPECTIVE

In 2014, Alabama faced a crisis with its prison system amid mounting problems with overcrowding, unsatisfactory conditions inside the facilities and a looming federal takeover. In response, the Alabama Legislature created a broad-based task force to search for innovative solutions. Although the focus of the group was relief to the overcrowded state facilities, the two county representatives on the panel spoke often and clearly about the impact many of the proposed measures could have on the local communities.

In fact, the county representatives — former Marshall County Commissioner Bill Stricklend and Baldwin County Sheriff Hoss Mack — urged the task force to delay introduction of legislation, writing that the proposed measures would "clearly and directly impact county jails, sheriffs and county budgets in a significant and negative way" and urged that "legislation be presented only after additional review and debate."

The Legislature moved forward despite the cries of concern. In the years that have followed, Alabama's counties have experienced the increase in jail and law enforcement costs that Stricklend and Mack precisely predicted.

The counties' representatives specifically wrote:

The use of the county jail as a tool for producing more acceptable behavior by parolees will place excessive pressure on county government. The proposed unscheduled drop-off of parole violators and the use of the county jail as a holding tank for parole violators set to make as many as three repeat trips to the prison system will clearly cause havoc at the county level. Although those who support this concept point to a modest reduction in state inmate populations in other states, Alabama's unique requirements on inmate transfers, medical costs and frequent litigation will clearly serve to significantly increase costs for counties.



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The data contained in this report confirms their reservations. The cost of operating Alabama's county jails and the 67 sheriff's departments has increased dramatically from the year before the passage of the reforms (fiscal year 2014) to fiscal year 2018. The number of state inmates in county jails has increased at an alarming rate — from almost 2,000 inmates in fiscal year 2014 to almost 7,800 in fiscal year 2018. The increased cost to counties has been so significant that those inside county government are concerned about the long-term viability of continued implementation of the 2015 changes.

Clearly, the intent of the 2015 reforms was to make it easier for probation and parole violators to stay in the community, despite displaying conduct that would have landed them back under the custody of the Department of Corrections before the passage of the new measures. The reforms also established a process allowing probation officers to drop off so-called "technical" violators at the county jail for as many as six different three-day stays (known as "dips") to help curb their unacceptable behavior.

Likewise, the 2015-created authority for repeated technical violators to be held in county jails while awaiting a 45-day transfer to state custody (known as "dunks") has applied additional pressure on the limited bed space in most county jails and produced a corresponding increase in medical costs and lawsuits.

## ALABAMA COUNTIES' PERSPECTIVE

As the Appendix E chart shows, during fiscal year 2017, at least 4,725 persons were held in county jails for dips and dunks. The number climbed to 5,527 persons in fiscal year 2018. Numbers are not yet available for fiscal year 2019.

This enormous influx of inmates otherwise held in state custody — with no additional funding for the corresponding costs — has provided relief to the state prison system at the expense of the 67 county governments. And the "dunk" process has also further impacted jail space because of difficulties in efficiently moving these inmates from county jails. Adding the "dunks" to the usual inflow of permanent inmates has stretched the Department's ability to respond in a timely manner.

Information provided by the Alabama Sentencing Commission shows that 30 percent of the inmates received into the custody of the Alabama Department of Corrections intake process today are actually persons being returned to state custody through the "dunk" process. It is important to note that no solution has been offered to move the "dunk" inmates into regional facilities, rather than through the centralized process, despite the 2015 legislation requiring the Department to work with counties to develop such an alternative process.

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As a result, the number of state inmates in county jails awaiting permanent transfer to the Department has spiked within the past 12 months. In fact, the number of inmates exceeding the 30-day limit established by the Alabama Supreme Court has reached more than 140 per week in 2019. This backlog also places additional stress and expense on county governments and has resulted in additional litigation at the counties' expense.

In addition, the costs of operating Alabama's county jails has increased by 14.2 percent between 2014 and 2018 — a number that is more than twice the rate of inflation during that time period. Likewise, county expenditures on the operation of the sheriff's office, already stressed by the impact of the new Class D felony arrests included in the 2015 reforms, increased by 12.2 percent during the same timeframe.

In all, county expenditures on jail and law enforcement increased by more than \$63 million per year between 2014 and 2018. Data on county expenditures is shown on Pages 4-6, and the specific expenditures for each county during fiscal years 2014 through 2018 is detailed in Appendices B and C.

In conclusion, the influx of inmates has so negatively impacted county budgets that during the 2019 Annual Convention of the Association of County Commissions of Alabama, the membership unanimously adopted a resolution urging the Alabama Legislature to re-examine the 2015 reforms — and the impact on county resources — before moving forward with any future changes. *The resolution can be found in the Appendix of this report as Appendix A.* 

The resolution states that "Alabama's County Governments oppose any additional Sentencing Reform Legislation before the Alabama Legislature that would result in additional diversion of inmates, probationers or parolees into Alabama's county jails without full reimbursement of all costs resulting from such diversion...[and] call on the Alabama Legislature to fully fund the reforms of 2015 by providing counties with the necessary revenue to address the unfunded mandates resulting from the 2015 Alabama Prison Reform Act."

County government recognizes the unavoidable link between county jails and the Alabama Department of Corrections. All involved in this effort must recognize that reform at either level has a direct — and dramatic — impact on the financial well-being of the other level of government. Effective solutions to this long-standing problem must include revisions to the 2015 reforms, which have proven so costly to counties that the county jails now face a crisis not unlike that which confronted the State in 2014.



## County costs increased by **\$31M** from 2014 to 2018, more than **twice the rate of inflation**.

See Appendix B for a complete breakdown of county-by-county jail operation costs.



See Appendix C for a complete breakdown of county-by-county sheriff's department operation costs.

## COMBINED COST TO COUNTIES FOR STATE INMATE INFLUX

\*Covers jail operation costs and sheriff's department costs



County costs increased by **\$63M** from 2014 to 2018, more than **twice the rate of inflation**.

## STATE INMATE POPULATION IN STATE PRISONS

\*Based on public data provided by the Alabama Department of Corrections and Alabama Board of Pardons and Paroles



See Appendix D for a complete breakdown of the state inmate population in state prisons from 2014 to 2018.

## STATE INMATE POPULATION In County Jails

#### \*Based on public data provided by the Alabama Department of Corrections and Alabama Board of Pardons and Paroles

These conservative figures account for state inmates in county jails, as well as the "dips and dunks" state inmate population created by the 2015 Alabama Prison Reform Act. Probation and parole violators can receive six "dips" — up to a three-day stay in a county jail — before being "dunked" for up to 45 days in a state prison. "Dunked" inmates often remain in a county jail for weeks before being picked up and transferred to a state prison.



See Appendix E for a complete breakdown of the state inmate population in county jails from 2014 to 2018.

## STATE INMATE POPULATION PERCENTAGE CHANGE

\*Based on public data provided by the Alabama Department of Corrections and Alabama Board of Pardons and Paroles



STATE INMATES IN STATE PRISONS

**STATE INMATES IN COUNTY JAILS** 

### COUNTIES' INMATE CRISIS Resolution



#### Resolution

#### EXPRESSING ALABAMA COUNTY GOVERNMENTS' OPPOSITION TO ANY SENTENCING REFORM LEGISLATION BEFORE PROVIDING COUNTIES WITH THE NECESSARY REVENUE TO ADDRESS THE UNFUNDED MANDATES RESULTING FROM THE 2015 ALABAMA PRISON REFORM ACT

WHEREAS, the 2015 Alabama Prison Reform Act, Act No. 2015-185, ("the Act) implemented sweeping changes to the Alabama Criminal Justice System, including the creation of the Class D felony, reformed parole and probation violation procedures, new efforts to enhance and increase alternatives to incarceration, and additional measures to reduce the General Population in State Prisons; and

WHEREAS, the Act utilized all 67 county government jails as the alternative to Alabama Department of Corrections ("ADOC") for holding technical parole and probation violators and the primary entity to incarcerate Class D Felons; and

WHEREAS, the Act reduced the Alabama Department of Corrections in-house population of incarcerated individuals by seventeen percent (17%) in three years; and

WHEREAS, the Act failed to make any significant improvement on the number of available community corrections programs from thirty-five (35) in 2015 to thirty-eight (38) in 2018; failed to increase the number of community correction mental health services; and failed to divert revenues saved from the reduction of ADOC in-house population to more effective community correction services as intended in the Act; and

WHEREAS, since the law's passage, the 67 Alabama Counties have experienced an increase of more than one-hundred and fifty percent (153.42%) of individuals in violation of parole and probation and ADOC inmate population diverted into the county jails and have experienced an increase in spending on jails and law enforcement of more than sixty million dollars (\$61,366,776.41); and

WHEREAS, the implementation of the Act unequivalently established an unfunded mandate for county governments and established county jails as a designated entity for the incarceration of individuals that otherwise would have been ordered to the custody of ADOC before the enactment of the reform measures; and

WHEREAS, the Act failed to allocate any funds to county governments for mandating the custody of Class D felons, for the temporary custody of probation and parole violators, for the costs associated with medical treatment, or transportation costs for individuals from county jails to ADOC; and

WHEREAS, a new task force has been established by Governor Kay Ivey to search for additional reforms intended to reduce the prison population under the supervision of the ADOC and to make further changes to Alabama's sentencing laws, and that such changes will likely produce more costly mandates on Alabama's County Governments.

**NOW, THEREFORE, BE IT RESOLVED BY THE ASSOCIATION OF COUNTY COMMISSIONS OF ALABAMA** that Alabama's County Governments oppose any additional Sentencing Reform Legislation before the Alabama Legislature that would result in an additional diversion of inmates, probationers or parolees into Alabama's county jails without full reimbursement of all costs resulting from such diversion; and

**BE IT FURTHER RESOLVED** that Alabama's County Governments call on the Alabama Legislature to fully fund the reforms of 2015 by providing counties with the necessary revenue to address the unfunded mandates resulting from the 2015 Alabama Prison Reform Act.

**IN WITNESS WHEREOF,** the Association has caused this resolution to be executed in its name and on its behalf by its President and Executive Director and has caused its corporate seal to be impressed thereon, all on this 22nd day of August, 2019.

President, ACCA

Executive Director. ACCA

# APPENDIX B

#### Data pulled from a survey of all 67 county governments

	2014 2018			2014	2018
County	Cost to Operate County Jail	Cost to Operate County Jail	County	Cost to Operate County Jail	Cost to Operate County Jail
Autauga	\$1,975,600.00	\$2,215,500.00	Houston	\$5,048,838.00	\$5,246,080.00
Baldwin	\$7,832,474.00	\$10,159,130.00	Jackson	\$2,119,670.00	\$2,019,117.00
Barbour	\$939,185.00	\$952,866.00	Jefferson	\$13,631,966.00	\$20,901,608.00
Bibb	\$708,465.00	\$808,403.00	Lamar	\$520,327.37	\$477,036.87
Blount	\$1,715,147.00	\$1,820,133.00	Lauderdale	\$2,601,865.00	\$3,331,434.00
Bullock	\$223,464.00	\$229,984.00	Lawrence	\$805,040.00	\$884,865.40
Butler	\$727,629.00	\$798,768.00	Lee	\$4,365,957.00	\$5,577,964.00
Calhoun	\$3,263,742.00	\$4,361,228.00	Limestone	\$3,605,288.00	\$4,608,849.00
Chambers	\$1,506,738.07	\$2,030,194.00	Lowndes	\$1,591,335.00	\$2,060,205.00
Cherokee	\$1,879,096.00	\$1,898,140.00	Macon	\$907,223.00	\$1,008,333.00
Chilton	\$1,572,150.00	\$1,841,342.00	Madison	\$16,250,507.00	\$16,615,108.00
Choctaw	\$387,729.96	\$416,397.65	Marengo	\$770,858.96	\$841,924.86
Clarke	\$1,163,442.00	\$1,223,867.00	Marion	\$884,796.16	\$1,001,802.81
Clay	\$648,638.00	\$709,626.00	Marshall	\$2,351,100.00	\$4,967,190.00
Cleburne	\$763,794.00	\$851,259.00	Mobile	\$22,466,249.00	\$24,991,146.00
Coffee	\$1,398,199.00	\$1,610,226.16	Monroe	\$1,609,456.47	\$1,639,911.92
Colbert	\$1,238,105.00	\$1,441,658.00	Montgomery	\$14,928,418.00	\$16,121,337.00
Conecuh	\$1,091,825.66	\$1,146,323.81	Morgan	\$5,641,305.00	\$7,051,030.84
Coosa	\$615,352.00	\$673,715.00	Perry	\$744,583.00	\$696,268.00
Covington	\$1,504,746.00	\$1,846,249.00	Pickens	\$1,352,079.00	\$1,359,428.00
Crenshaw	\$535,950.00	\$551,187.00	Pike	\$563,700.00	\$665,700.00
Cullman	\$3,386,819.00	\$3,634,732.00	Randolph	\$882,323.00	\$761,872.00
Dale	\$961,712.00	\$1,182,351.00	Russell	\$4,163,026.00	\$4,569,003.00
Dallas	\$1,628,834.00	\$1,847,287.00	St. Clair	\$2,705,872.00	\$3,293,977.00
DeKalb	\$2,869,400.00	\$2,838,000.00	Shelby	\$5,276,597.00	\$7,123,456.00
Elmore	\$2,069,814.00	\$2,227,906.00	Sumter	\$642,742.00	\$666,149.00
Escambia	\$1,676,795.00	\$1,946,675.00	Talladega	\$3,628,362.00	\$3,997,200.00
Etowah	\$4,075,970.00	\$4,316,737.00	Tallapoosa	\$1,175,119.00	\$1,371,298.00
Fayette	\$345,820.57	\$403,309.98	Tuscaloosa	\$8,095,587.00	\$8,767,505.00
Franklin	\$1,735,539.00	\$1,742,197.00	Walker	\$3,044,272.85	\$3,109,645.98
Geneva	\$668,850.00	\$828,200.00	Washington	\$467,154.00	\$556,200.00
Greene	\$976,512.00	\$886,747.00	Wilcox	\$444,809.00	\$480,345.00
Hale	\$690,492.00	\$811,505.00	Winston	\$812,435.00	\$755,690.00
Henry	\$435,168.00	\$427,394.00			

### **TOTAL COST TO OPERATE COUNTY JAILS** \$187,312,058.07 \$218,197,918.28

2014

2018

# APPENDIX C

#### Data pulled from a survey of all 67 county governments

	2014	2018		2014	2018
County	Cost to Operate Sheriff's Dept.	Cost to Operate Sheriff's Dept.	County	Cost to Operate Sheriff's Dept.	Cost to Operate Sheriff's Dept.
Autauga	\$2,143,800.00	\$2,677,800.00	Houston	\$5,765,995.00	\$7,446,090.00
Baldwin	\$11,425,488.00	\$14,988,419.00	Jackson	\$2,842,610.00	\$2,827,575.00
Barbour	\$845,171.00	\$816,897.00	Jefferson	\$35,068,034.00	\$40,360,583.00
Bibb	\$701,668.00	\$732,893.00	Lamar	\$555,966.77	\$592,560.01
Blount	\$2,677,672.00	\$2,596,754.00	Lauderdale	\$3,732,373.00	\$4,043,680.00
Bullock	\$455,068.20	\$447,551.50	Lawrence	\$2,009,988.47	\$2,107,705.07
Butler	\$1,003,151.00	\$991,998.00	Lee	\$6,606,805.00	\$7,747,186.00
Calhoun	\$3,017,155.00	\$3,247,105.00	Limestone	\$4,377,516.00	\$4,561,895.00
Chambers	\$1,296,906.65	\$1,493,680.00	Lowndes	\$1,061,900.00	\$1,090,900.00
Cherokee	\$1,554,905.00	\$2,075,922.00	Macon	\$1,277,146.00	\$1,474,189.00
Chilton	\$1,924,515.00	\$2,168,102.00	Madison	\$11,384,829.00	\$13,164,669.00
Choctaw	\$697,653.89	\$808,873.80	Marengo	\$1,066,920.87	\$1,121,731.24
Clarke	\$1,064,703.00	\$1,437,897.00	Marion	\$937,285.85	\$1,304,221.17
Clay	\$635,712.00	\$722,292.00	Marshall	\$2,480,414.00	\$2,530,536.00
Cleburne	\$840,949.00	\$947,701.00	Mobile	\$20,434,484.00	\$22,704,553.00
Coffee	\$1,674,879.00	\$1,945,065.68	Monroe	\$873,102.09	\$885,221.07
Colbert	\$2,261,021.88	\$2,480,252.00	Montgomery	\$12,281,241.00	\$14,027,846.00
Conecuh	\$993,028.06	\$788,868.82	Morgan	\$4,107,165.06	\$3,891,800.43
Coosa	\$696,758.00	\$737,489.00	Perry	\$99,168.00	\$77,382.00
Covington	\$1,841,940.00	\$1,996,418.00	Pickens	\$880,299.00	\$1,079,245.00
Crenshaw	\$543,886.00	\$608,589.00	Pike	\$1,603,500.00	\$1,886,000.00
Cullman	\$6,249,046.00	\$7,858,424.00	Randolph	\$992,264.00	\$1,313,315.00
Dale	\$1,751,714.00	\$1,912,189.00	Russell	\$3,380,915.00	\$4,388,516.00
Dallas	\$2,127,290.00	\$2,282,334.00	St. Clair	\$4,954,761.00	\$5,394,385.00
DeKalb	\$3,002,975.00	\$3,287,550.00	Shelby	\$14,245,529.00	\$16,123,456.00
Elmore	\$4,019,196.00	\$4,077,887.00	Sumter	\$703,722.00	\$851,014.00
Escambia	\$2,446,424.00	\$2,698,200.00	Talladega	\$3,155,500.00	\$3,304,800.00
Etowah	\$3,925,751.00	\$4,950,649.00	Tallapoosa	\$2,140,565.00	\$2,300,994.00
Fayette	\$654,057.60	\$676,954.25	Tuscaloosa	\$12,819,647.00	\$14,787,530.00
Franklin	\$1,574,770.00	\$1,717,239.00	Walker	\$2,360,235.95	\$2,172,312.15
Geneva	\$1,062,117.00	\$1,213,004.00	Washington	\$936,147.00	\$1,051,907.00
Greene	\$570,504.00	\$736,430.00	Wilcox	\$305,946.00	\$349,961.00
Hale	\$717,136.00	\$932,364.00	Winston	\$876,932.00	\$938,630.00
Henry	\$967,374.00	\$1,073,611.00			

### TOTAL COST TO OPERATE COUNTY Sheriff's departments

**2014** \$233,683,292.34



# APPENDIX D

Data pulled from the 2014-2018 annual and monthly reports of the Alabama Department of Corrections

Fiscal Year	Number of State Inmates in State Prisons	Percentage Change in State Inmate/Prison Population
2014	24,813	0
2015	24,191	-2.51%
2016	23,328	-5.98%
2017	21,213	-14.51%
2018	20,087	-19.05%

### STATE INMATE POPULATION IN COUNTY JAILS

# APPENDIX E

\*Data pulled from the 2017 and 2018 annual reports of the Alabama Board of Pardons and Paroles

All other data pulled from the 2014-2018 annual and monthly reports of the Alabama Department of Corrections

Fiscal Year	Number of State Inmates in County Jails	Number of State Parole "Dunks" in Jails	Number of State Probation "Dunks" in Jails	Number of State "Dips" in Jails	Total	Percentage Change in State Inmate/ Jail Population
2014	1,990	0	0	0	1,990	0
2015	1,877	0	0	0	1,877	-5.68%
2016	1,872	0	0	0	1,872	-5.93%
2017	2,204	2,300*	1,570	855*	6,929	248.19%
2018	2,263	2,988*	1,683	856*	7,790	291.46%