

BID SUBMITTAL FORM
Alabama County Joint Bid Program
BID ITEM – 125 HP HYDROSTATIC BULLDOZER – OPTION B

Company Name: THOMPSON TRACTOR COMPANY

Address: P.O. BOX 10367
BIRMINGHAM, AL 35202-0367

Bid Submitted by: JAY SMITH
(Name of company representative)

Title: SALES OPERATIONS MANAGER E-mail address: JAYSMITH@THOMPSONTRACTOR.COM

Phone: (205) 849-4242 Fax: (205) 849-4394

By submitting this bid, we agree:

Initials

The equipment model number identified below meets the bid specs for this bid item.

JAS

That the bid price will be honored for all counties for the period from January 1, 2022 to December 31, 2022.

JAS

The equipment will be delivered at the bid price to all counties participating in the joint bid program.

JAS

The company representative listed above will be the contact person for purchasing this bid item under the joint bid program.

JAS

The bid is accompanied by a current catalog or model specification document for the model number identified below.

JAS

The bid is accompanied by a copy of the manufacturer's standard warranty as required in the bid specifications.

JAS

The bid includes the e-verify documentation required by Alabama law.

JAS

If awarded the bid, a performance bond will be provided upon request.

JAS

The bid documents include the **Manufacturer's Suggested Retail Price Sheet (MSRP) for the Standard Machine.**

JAS

125 HP HYDROSTATIC BULLDOZER – OPTION B

Total Bid Price for Standard Machine: \$ 205,886

(Total Bid Price for Standard Machine Includes Freight Preparation, Delivery and Standard Warranty Costs) *


Freight Preparation and Delivery: \$ 6,880

(Included in Standard Machine Bid Price)

Manufacturer's Suggested Retail Price for Standard Machine: \$ 280,291

Equipment Model #: CATERPILLAR D4

Description: TRACK TYPE TRACTOR

Signature of company representative submitting bid: 

Title: SALES OPERATIONS MANAGER

* **NOTE:** Award will be made based on the total cost of the **Standard Machine**. The total cost of the standard machine is to include the freight preparation, delivery and standard warranty cost. Freight preparation, delivery will be excluded from the total bid price of the standard machine in determining the percentage discount for any available options.

**BID SUBMITTAL FORM: OPTION COST SHEET
125 HP HYDROSTATIC BULLDOZER – OPTION B**

By submitting this bid, we agree:

To offer any available options at the percent difference between the Manufacturer's Suggested Retail Price Sheet and the actual bid price on the Standard Machine*

JAS

The bid documents include the Manufacturer's Suggested Retail Price Sheet (MSRP) with **any available Options** for the Standard Machine

JAS

Equipment Model #: CATERPILLAR D4

Description: TRACK TYPE TRACTOR

Signature of company representative submitting bid: 

Title: SALES OPERATIONS MANAGER

***Note:** The percent difference between the **Manufacturer's Suggested Retail Price Sheet (MSRP)** for the standard machine as specified by these **Bid Specifications** and the actual price bid by the vendor will be calculated to determine the percentage discount to be applied to any available options. The bid price of the freight preparation, delivery cost shall be excluded in determining the percentage discount to be applied to available options. Any individual county may choose to add any available option to the standard machine at the percentage discount at the time of purchase.

BID SPECIFICATIONS FOR 125 HP HYDROSTATIC BULLDOZER – OPTION B

GENERAL

These specifications shall be construed as the minimum acceptable standards for a 125 hp hydrostatic bulldozer. Should the manufacturer's current published data or specifications exceed these standards, the manufacturer's standards shall be considered minimum and shall be furnished. All integral parts not specifically mentioned in the scope of these specifications that are necessary to provide a complete working unit shall be furnished. Additionally, the machine offered for bid shall include all standard manufacturer's equipment. The bulldozers must be a new current production model and shall meet all EPA and other applicable standards at the time of manufacture.

The use of specific names and numbers in the specifications is not intended to restrict the bidder or any seller or manufacturer, but is intended solely for the purpose of indicating the type, size and quality of equipment considered best adapted to the uses of counties participating in this joint bid.

BID SUBMITTAL FORM

Each bidder must submit his or her bid on the Bid Submittal Form included in the invitation to bid package. All written warranties to be submitted shall be attached to the Bid Submittal Form.

BID PRICE

The price bid shall include all destination charges, delivery charges, title fees, rebates, and all other applicable costs and refunds.

MANUALS

Each unit shall be provided with one (1) copy of the operator's manual and one (1) copy of the current parts manual. Units will not be accepted for delivery until the manuals as outlined above are received by the purchaser.

REPLACEMENT PARTS AVAILABILITY

Parts must be available for 5 years or 7,500 hours of use for the piece of equipment bid. If replacement parts are not delivered within three (3) working days of an order being placed, the bidder will deliver an equivalent machine for the county to use at no cost to the county until such time as the parts are delivered to the county so it can affect repairs to its machine.

WARRANTY

Bidders shall submit a copy of the manufacturer's standard warranty. Warranty shall include service response time of maximum of 36 hours within notification by county.

Yes ☒ No ☐
Page# _____
or
Attachment ☒

ENGINE

Shall be a turbocharged diesel engine with a displacement of not less than **260 cubic inches**, capable of developing a minimum of **125 net** flywheel horsepower (SAE J1349).

Yes ☒ No ☐
Page # 1, 10

Engine shall be manufactured by the equipment manufacturer.

Yes ☒ No ☐
Page # 1, 10

WEIGHT

Operating weight with blade, operator, full fuel tank, and ROPS canopy shall not be less than **30,500 lbs.**

Yes ☒ No ☐
Page # 10

ELECTRICAL SYSTEM

Shall be equipped with a minimum **100-amp** alternator.

Yes ☒ No ☐
Page # 14

TRANSMISSION

Shall be equipped with a hydrostatic type transmission.

Yes ☒ No ☐
Page # 14

STEERING

All steering functions must be able to be performed using one hand only.

Yes ☒ No ☐
Page # 4

UNDERCARRIAGE

The tractor shall be equipped with sealed and lubricated tracks. Minimum shoe width shall be **30 inches**. Track sag shall be hydraulically adjusted.

Yes ☒ No ☐
Page # 10, 14

Ground bearing pressure shall not exceed **4.5 lbs/sq. inch**.

Yes ☒ No ☐
Page # 10

BLADE

The machine shall be equipped with a six-way (pat) blade with a minimum capacity of **4.8 cubic yards**.

Yes ☒ No ☐
Page # 11

VANDALISM PROTECTION

Machine shall be equipped with all standard and optional factory vandalism protection available for the machine bid.

Yes ☒ No ☐
Page # 14

SAFETY EQUIPMENT

Gauges and indicators shall be provided to monitor critical (fuel level, coolant temperature, powertrain oil temperature, and hydraulic oil temperature) operational systems of the machine and alert the operator when potential problems occur.

Yes ☒ No ☐
Page # 14

Rear view mirror; backup alarm.

Yes ☒ No ☐
Page # 14

Heavy duty hinged radiator guard

Yes ☒ No ☐
Page # 14

Crankcase guard

Yes ☒ No ☐
Page # 14

Track center guiding guard

Yes ☒ No ☐
Page # 15

Pre-cleaner guard

UNDER HOOD

Yes ☒ No ☐
Page # 14

Front tow hooks

Yes ☒ No ☐
Page # 14

Heavy duty rear drawbar

Yes ☒ No ☐
Page # 14, 15

Fire extinguisher

Yes ☒ No ☐
Page # VENDOR PURCHASE

Water separator

Yes ☒ No ☐
Page # 14

	D4 LGP	125 HP HYDROSTATIC BULLDOZER OPTION B	2022 Pricing
575-5171	D4 LGP TRACTOR		\$12,615
578-7519	N.A. REGIONAL PACKAGE		\$0
581-0392	VPAT CONFIGURATION		\$243,266
577-5974	C4.4 TTA CATERPILLAR ENGINE		\$0
577-5976	LGP POWERTRAIN		\$0
584-4810	STANDARD AIR CLEANER		\$1,061
431-7080	HEAVY DUTY UNDERCARRIAGE		\$0
379-3983	30" EXTREME SERVICE 30" SHOES		\$2,881
368-9744	CENTER TRACK GUIDING GUARD		\$1,139
578-0532	NO REAR HYDRAULIC PACKAGE		\$0
579-0200	STANDARD STARTER		\$0
566-5453	DEMAND FAN		\$0
465-5604	STANDARD STARTER		\$0
536-9447	6 HALOGEN LIGHTS		\$0
576-6087	STANDARD CAB		\$0
581-1606	REAR VIEW CAMERA		\$0
579-2176	GRADE CONTROL READY W/ ASSIST		\$8,362
578-9247	CELLULAR PLE743 PRODUCT LINK		\$0
585-3842	STANDARD FUEL TANK		\$0
578-7619	FRONT PROTECTION PACKAGE		\$650
578-3046	DRAWBAR		\$0
525-6315	STANDARD HYDRAULIC OIL		\$0
0P-3940	CYLINDER ROD PROTECTION		\$0
0P-0996	ENGLISH MANUAL		\$0
421-8926	TECHNICAL MEDIA KIT		\$0
585-5659	STANDARD AM/FM BLUETOOTH RADIO		\$634
0P-1939	WINDSHIELD WASHER		\$0
0P-3978	FUEL ANTIFREEZE		\$0
582-6695	145" ACCUGRADE READY LGP BLADE		\$9,682
		TOTAL BID PRICE FOR STANDARD MACHINE	\$205,886
		FREIGHT PREPARATION AND DELIVERY	\$6,880
		TOTAL MANUFACTURER'S SUGGESTED RETAIL PRICE FOR STANDARD MACHINE	\$280,291

D4 Track-Type Tractor Specifications

Engine

Engine Model	Cat® C4.4 with twin turbo	
Build Number	16A	
Emissions	U.S. EPA Tier 4 Final/ EU Stage V/Japan 2014/ Korea Tier 4 Final	
Net Power (2,100 rpm)		
SAE J1349	97 kW	130 hp
ISO 9249	97 kW	130 hp
ISO 9249 (DIN)	132 mhp	
Engine Power – Maximum (2,200 rpm)		
SAE J1995	119 kW	160 hp
ISO 14396	117 kW	157 hp
ISO 14396 (DIN)	159 mhp	
Bore	105 mm	4.13 in
Stroke	127 mm	4.99 in
Displacement	4.4 L	268.5 in³

- Net power available at the flywheel when the engine is equipped with fan, air cleaner, aftertreatment, and alternator with engine speed at 2,100 rpm.
- Advertised power is tested per the specified standard in effect at the time of manufacture.
- No derating required up to 3000 m (9,840 ft) altitude, beyond 3000 m (9,840 ft) automatic derating occurs.
- All non-road Tier 4 Interim and Final, Stage IIIB, IV and V, Japan 2014 (Tier 4 Final) and Korea Tier 4 Final diesel engines are required to use only ultra-low sulfur diesel (ULSD) fuels containing 15 ppm (mg/kg) sulfur or less. Biodiesel blends up to B20 (20% blend by volume) are acceptable when blended with 15 ppm (mg/kg) sulfur or less ULSD. B20 should meet ASTM D7467 specification (biodiesel blend stock should meet Cat biodiesel spec, ASTM D6751 or EN 14214). Cat DEO-ULS™ or oils that meet the Cat ECF-3, API CJ-4, API CK-4 and/or ACEA E9 are required. Consult your OMM for further machine specific fuel recommendations.
- Diesel Exhaust Fluid (DEF) used in Cat Selective Catalytic Reduction (SCR) systems must meet the requirements outlined in the International Organization for Standardization (ISO) standard 22241.

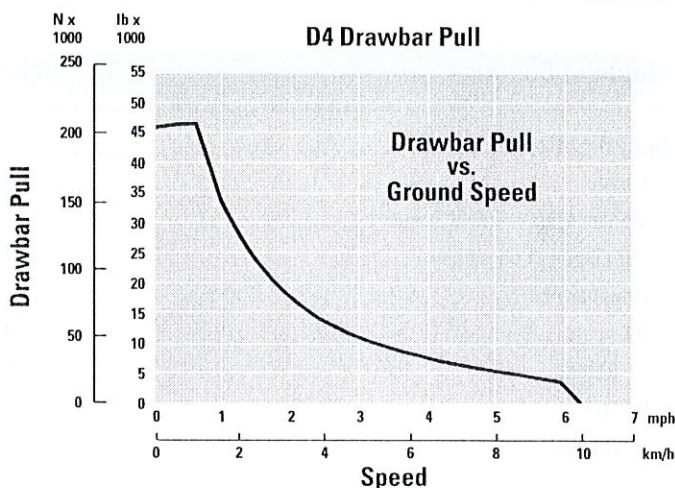
Transmission – Travel Speed

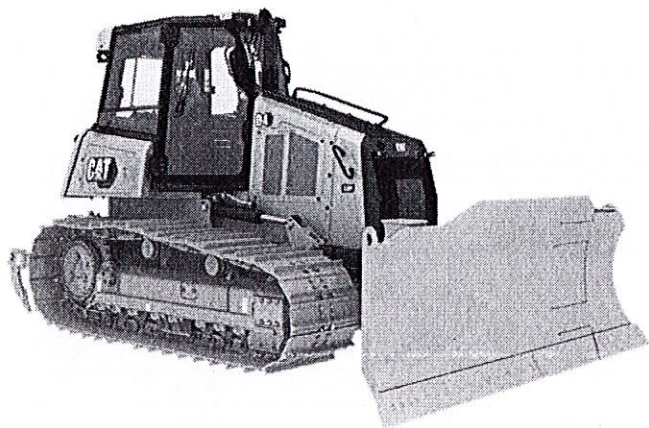
Transmission Type	Hydrostatic	
Forward	0-10 km/h	0-6.2 mph
Reverse	0-10 km/h	0-6.2 mph

Service Refill Capacities

Fuel Tank	260 L	69 gal
DEF Tank	19 L	5 gal
Cooling System	24 L	6.3 gal
Engine Crankcase	11 L	2.9 gal
Final Drives (each)	18.5 L	4.9 gal
Hydraulic Tank	64 L	16.9 gal

Drawbar Pull





D4

Track-Type Tractor

Technical Specifications

Configurations and features may vary by region. Please consult your Cat® dealer for availability in your area.

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D4 Track-Type Tractor Specifications

D4 LGP

Operating Weight*	14 008 kg	30,882 lb
Shipping Weight**	13 742 kg	30,296 lb
Ground Pressure (ISO 16754)	31 kPa	4.5 psi
Undercarriage (Standard)	40 Section/ 8 Bottom Rollers	
1 Track Gauge	2000 mm	79 in
2 Width of Track Shoe (Standard)	760 mm	30 in
3 Width of Tractor	2760 mm	109 in
4 Length of Track on Ground	2653 mm	104 in
Ground Contact Area (ISO 16754)	4.46 m ²	6,912 in ²
Grouser Height (Moderate/Extreme Service)	48/57 mm	1.9/2.2 in
Ground Clearance †	350 mm	14.0 in
5 Machine Height***	2965 mm	117 in
6 Length of Basic Tractor****	4731 mm	181 in

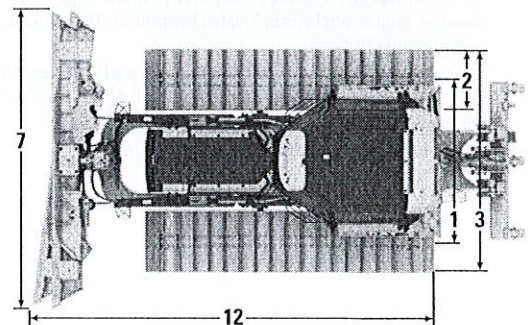
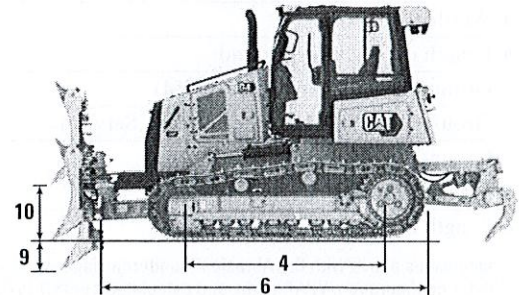
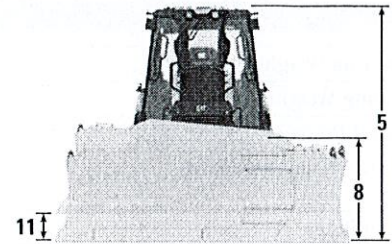
All dimensions above with Cat Abrasion undercarriage with Extreme Service shoes of maximum width for configuration, VPAT blade, and calculated per ISO 16754 unless otherwise specified.

*Operating weights: includes EROPS, A/C, lights, VPAT Dozer, VPAT blade, transmission, drawbar, engine enclosure, 3-valve hydraulics, 100% fuel, Cat Comfort Series air suspension seat and operator.

**Shipping weight includes EROPS, A/C, lights, VPAT Dozer, VPAT blade, transmission, drawbar, engine enclosure, 3-valve hydraulics, 10% fuel, Cat Comfort Series air suspension seat.

***Machine height from tip of grouser to top of ROPS cab.

****Length of Basic Tractor from blade to drawbar.



Foldable VPAT Blade Shown

Blades

Configuration	LGP VPAT		Foldable LGP VPAT	
Capacity (ISO 9246)	3.81 m ³	4.98 yd ³	3.81 m ³	4.98 yd ³
7 Width across End Bits	3684 mm	12.0 ft	3684 mm	12.0 ft
Width without End Bits	3573 mm	11.7 ft	3573 mm	11.7 ft
Width across End Bits (Blade Angled 24°)	3366 mm	132.5 in	3366 mm	132.5 in
Width without End Bits (Blade Angled 24°)	3264 mm	128.5 in	3264 mm	128.5 in
Width of the Machine (Foldable Blade Angled 24° for transportation purpose only)	—		2815 mm	110.8 in
Maximum Blade Angle	24°		24°	
8 Height	1323 mm	52.1 in	1323 mm	52.1 in
9 Dig Depth	470 mm	18.5 in	470 mm	18.5 in
10 Lift Height	953 mm	37.5 in	953 mm	37.5 in
11 Maximum Tilt at Blade Corner	260 mm	10.2 in	260 mm	10.2 in
Maximum Tilt Angle	8°		8°	
Pitch Adjustment	60° (cutting edge/ground, nominal); -2°/+4°			
12 Length of Machine (Blade Straight)	5142 mm	202.5 in	5142 mm	202.5 in
Length of Machine (Blade Angled 24°)	5837 mm	229.8 in	5837 mm	229.8 in
Blade Weight	1105 kg	2,436 lb	1306 kg	2,879 in

D4 Track-Type Tractor Specifications

D4

Operating Weight*	13 272 kg	29,259 lb
Shipping Weight**	13 006 kg	28,673 lb
Ground Pressure (ISO 16754)	40.5 kPa	5.9 psi
Undercarriage (Standard)	40 Section/ 8 Bottom Rollers	
1 Track Gauge	1770 mm	70 in
2 Width of Track Shoe (Standard)	560 mm	22 in
3 Width of Tractor	2330 mm	92 in
4 Length of Track on Ground	2653 mm	1,104 in
Ground Contact Area (ISO 16754)	3.27 m ²	5,070 in ²
Grouser Height (Moderate/Extreme Service)	48/57 mm	1.9/2.2 in
Ground Clearance	350 mm	14.0 in
5 Machine Height***	2965 mm	117 in
6 Length of Basic Tractor****	4731 mm	181 in

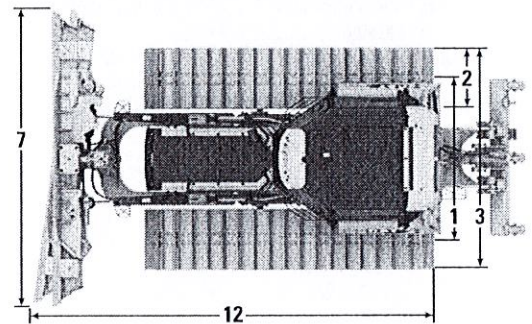
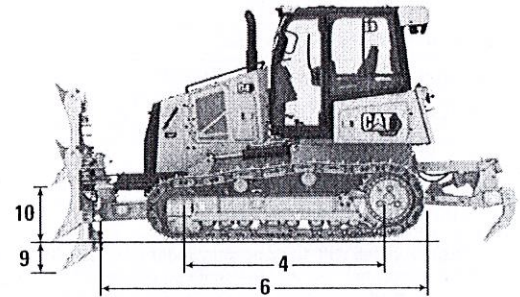
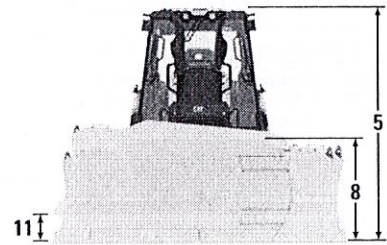
All dimensions above with Cat Abrasion™ undercarriage with Extreme Service shoes of maximum width for configuration, VPAT blade, and calculated per ISO 16754 unless otherwise specified.

*Operating weights: includes EROPS, A/C, lights, VPAT Dozer, VPAT blade, transmission, drawbar, engine enclosure, 3-valve hydraulics, 100% fuel, Cat Comfort Series air suspension seat and operator.

**Shipping weight includes EROPS, A/C, lights, VPAT Dozer, VPAT blade, transmission, drawbar, engine enclosure, 3-valve hydraulics, 10% fuel, Cat Comfort Series air suspension seat.

***Machine height from tip of grouser to top of ROPS cab.

****Length of Basic Tractor from blade to drawbar.



LGP Model with Foldable VPAT Blade Shown

Blades

Configuration	VPAT		Foldable VPAT	
Capacity (ISO 9246)	3.26 m ³	4.26 yd ³	3.26 m ³	4.26 yd ³
7 Width across End Bits	3196 mm	10.5 ft	3196 mm	10.5 ft
Width without End Bits	3097 mm	10.2 ft	3097 mm	10.2 ft
Width across End Bits (Blade Angled 24°)	2920 mm	115 in	2920 mm	115 in
Width without End Bits (Blade Angled 24°)	2829 mm	111.4 in	2829 mm	111.4 in
Width of the Machine (Foldable Blade Angled 24° for transportation purpose only)	—		2371 mm	93.3 in
Maximum Blade Angle	24°		24°	
8 Height	1291 mm	50.8 in	1291 mm	50.8 in
9 Dig Depth	470 mm	18.5 in	470 mm	18.5 in
10 Lift Height	953 mm	37.5 in	953 mm	37.5 in
11 Maximum Tilt at Blade Corner	226 mm	8.9 in	226 mm	8.9 in
Maximum Tilt Angle	8°		8°	
Pitch Adjustment	60° (cutting edge/ground, nominal); -2°/+4°			
12 Length of Machine (Blade Straight)	5142 mm	202.4 in	5142 mm	202.4 in
Length of Machine (Blade Angled 24°)	5738 mm	226.3 in	5738 mm	226.3 in
Blade Weight	1005 kg	2,215 lb	1195 kg	2,634.5 lb

D4 Track-Type Tractor Specifications

Track Shoes

Some track shoes not available in all regions. Please consult your Cat dealer for details.

Standard		
510 mm (20 in)	Cat Abrasion™	Moderate Service
510 mm (20 in)	Cat Abrasion	Extreme Service
560 mm (22 in)	Cat Abrasion	Moderate Service
560 mm (22 in)	Cat Abrasion	Extreme Service
510 mm (20 in)	Heavy Duty	Extreme Service
560 mm (22 in)	Heavy Duty	Extreme Service
LGP		
760 mm (30 in)	Cat Abrasion	Moderate Service
760 mm (30 in)	Cat Abrasion	Extreme Service
760 mm (30 in)	Cat Abrasion XL	Extended Life/Extreme Abrasion
760 mm (30 in)	Heavy Duty	Extreme Service
760 mm (30 in)	Heavy Duty	Self-Cleaning

Heavy Duty/Forestry Dozer

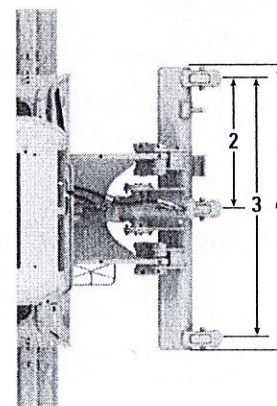
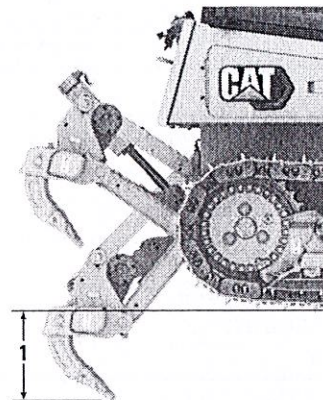
You can outfit your D4 with a variety of factory options designed to provide added protection in heavy-duty applications like forestry and land clearing. Please consult with your Cat dealer about the variety of options available to best equip your dozer for the work that you do:

- Heavy duty cab with polycarbonate windows
- Cab protection package with sweeps and rear screen
- Heavy Duty cab protection package with sweeps, rear screen, side screen, rear light guards
- Rear screen
- Heavy duty front protection package with heavy duty radiator grill and standard crankcase guard
- Heavy duty protection package with heavy duty radiator grill, heavy duty fuel tank guard, heavy duty crankcase guard (also available for fast fill/fast fill ready models)
- Heavy duty undercarriage
- Extreme service track shoes with Heavy duty undercarriage
- Track guiding guards
- Six or eight LED lights
- Flashing beacon

D4 Track-Type Tractor Specifications

Ripper

Type Fixed	Parallelogram	
1 Maximum Penetration Force		
D4	42.2 kN	9,480 lb
D4 LGP	45.1 kN	10,138 lb
Maximum Pry-out Force		
D4	166.7 kN	37,480 lb
D4 LGP	166.7 kN	37,480 lb
2 Pocket Spacing	896 mm	35.3 in
3 Shank Gauge	1792 mm	70.6 in
Shank Section	58.5 mm × 138 mm	2.3 in × 5.4 in
Number of Pockets	3	
4 Overall Beam Width	1951 mm	76.8 in
Beam Cross Section	165 mm × 211 mm	6.5 in × 8.3 in
Maximum Penetration	360 mm	14.2 in
Weight with One Shank	845 kg	1,863 lb
Each Additional Shank	34 kg	75 lb



Winch

Winch Model	PA50	
Winch Drive	Hydrostatic	
Weight*	907 kg	2,000 lb
Winch and Bracket Length	845 mm	33.2 in
Winch Case Width	740 mm	29.1 in
Drum Diameter	205 mm	8 in
Drum Width	274 mm	11 in
Flange Diameter	457 mm	18 in
Drum Capacity (recommended rope)	93 m	306 ft
Drum Capacity (optional rope)	67 m	220 ft
Rope Recommended Diameter	19 mm	0.75 in
Optional Cable Size	22 mm	0.87 in
Cable Ferrule Size (O.D.)	54 mm	2.13 in
Cable Ferrule Size (length)	67 mm	2.63 in
Maximum Bare Drum		
Line Pull	222.4 kN	50,000 lbf
Line Speed	38 m/min	124 ft/min
Maximum Full Drum		
Line Pull	115.7 kN	26,000 lbf
Line Speed	70 m/min	230 ft/min

*Weight includes pump, operator controls, oil, mounting brackets and spacers.

D4 Track-Type Tractor Specifications

Standards

ROPS/FOPS/OPS

- ROPS (Rollover Protective Structure) offered by Caterpillar for the machine meets ROPS criteria ISO 3471:2008.
- FOPS (Falling Object Protective Structure) meets ISO 3449:2005 Level II.
- OPS (Operator Protective Structure) screens meet OPS criteria per ISO 8084:2003 and WCB G604:2011.

Brakes

- Brakes meet the standard ISO 10265:2008.

Sound and Vibration – Cab

Sound Level Information

- The declared dynamic operator sound pressure level is 76 dB(A) when “ISO 6396:2008” is used to measure the value for an enclosed cab. The measurements were conducted at 70% of the maximum engine cooling fan speed. The sound level may vary at different engine cooling fan speeds. The cab was properly installed and maintained. The measurements were conducted with the cab doors and the cab windows closed.

NOTE: The dynamic operator sound pressure level uncertainty is ± 2 dB(A).

- Hearing protection may be needed when the machine is operated with an open operator station, in a noisy environment, or with a cab that is not properly maintained.
- The guaranteed exterior sound power level is 109 dB(A) when the value is measured according to the dynamic test procedures and the conditions that are specified in “ISO 6395:2008.” The measurements were conducted at 70% of the maximum engine cooling fan speed. The sound level may vary at different engine cooling fan speeds.
- Sound Level Information for Machines in European Union Countries and in Countries that Adopt the “EU Directives.” The information below applies to only the machine configurations that have the “CE” mark on the Product Identification Plate. If equipped, the certification label – 109 dB(A) – is used to verify the environmental sound certification of the machine to the requirements of the European Union. The value that is listed on the label indicates the guaranteed exterior sound power level (L_{WA}) at the time of manufacture for the conditions that are specified in “2000/14/EC.”

Sustainability – Sound and Vibration Levels

Sound Levels

Guaranteed Exterior Sound Power	
2000/14/EC ISO 6395:2008	109 dB(A)
Dynamic Operator Sound Pressure Level (L_{WA})	
ISO 6396:2008	76 dB(A)

Vibration Levels

- The hand/arm vibration per ISO 5349:2001 of this machine is below 2.5 m/s^2 , for an experienced operator in a dozing application.
- The whole body vibration per ISO/TR25398:2006 of this machine is below 0.5 m/s^2 for an experienced operator in a dozing application.
- Operator's seat meets the input “spectral class EM6” according to ISO 7096:2000. Seat has a transmissibility factor of “SEAT<0.7.”

Air Conditioning System

The air conditioning system on this machine contains the fluorinated greenhouse gas refrigerant R134a (Global Warming Potential = 1430). The system contains 1.6 kg of refrigerant which has a CO₂ equivalent of 2.288 metric tons.

D4 Track-Type Tractor Specifications

Technology Features

The broadest choice of technology features helps you get the most from your dozer.

GRADE	Premium Display	Grade with Slope Assist	Grade with 3D
Grade with 3D	—	+ ¹	✓
Third Party Grade Control Ready	✓	✓	✓
ASSIST			
Slope Indicate			
Stable Blade	✓	✓	✓
Traction Control			
Slope Assist	—	✓	✓
VISION/DETECT			
High Definition (HD) Rear Camera with Backup Lines	✓	✓	✓
LINK			
Product Link™ Cellular	✓	✓	✓
Product Link Dual Mode	⊕	⊕	⊕
Remote Flash/Troubleshoot	✓	✓	✓
Grade Connectivity	—	✓	✓
OPERATOR FEATURES			
Operator ID ²	✓	✓	✓
Machine Security – Passcode ²	✓	✓	✓
Machine Security – Bluetooth ^{*2}	⊕	⊕	⊕

¹ Requires Software Enabled Attachment (SEA)

² Contact Dealer to Enable

✓ Standard

+ Dealer Install Option

⊕ Factory Option

D4 Standard and Optional Equipment

Standard and Optional Equipment

Standard and optional equipment may vary. Consult your Cat dealer for details.

	Standard	Optional		Standard	Optional
POWER TRAIN			OPERATOR ENVIRONMENT		
Cat C4.4 diesel engine	✓		Cab – Standard ROPS/FOPS (air suspended seat, joystick controls)	✓	
U.S. EPA Tier 4 Final/EU Stage V/ Japan 2014/Korea Tier 4 Final Certified Engine with Aftertreatment	✓		Cab – Comfort Package (heated joystick controls, heated and ventilated seat)		✓
ADEM A6 Electronic Control Module	✓		Cab – Heavy Duty Package (polycarbonate windows, air suspended seat, joystick controls)		✓
Air-to-air aftercooling	✓		Cloth air suspension seat	✓	
Glow plug starting aid	✓		Heated/ventilated seat		✓
3000 m (9,840 ft) altitude operation capability without derating	✓		10-inch (254 mm) touchscreen display	✓	
Hydraulically driven, variable speed fan	✓		Rear view camera	✓	
Auto-reversing fan		✓	Electro-hydraulic implement and steering controls	✓	
Aluminum bar plate cooling system (radiator, power train, aftercooler)	✓		Electronically programmable speed selector	✓	
Air cleaner with integrated precleaner, dust ejector and under hood air intake	✓		Adjustable armrests	✓	
Air cleaner with turbine precleaner		✓	Heated joystick controls		✓
Electrical lift/priming pump	✓		Retractable seat belt, 76 mm (3 in)	✓	
Engine decelerating function (rotary switch and pedal engine speed control)	✓		12V radio ready (plug and play)	✓	
Hydrostatic, infinite variable speed transmission	✓		Radio – AM/FM/AUX/USB/Bluetooth		✓
Selections and electronic control enhancements for the transmission/ engine system	✓		Footrests for slope work	✓	
Programmable/selectable bi-directional shifting	✓		Integrated air conditioning with automatic temperature control	✓	
Engine Idle Shutdown	✓		Electronic engine air cleaner service indicator	✓	
Auto Engine Speed Control	✓		Electronic water-in-fuel switch	✓	
			Product Link ready GSM or Satellite	✓	
			Intermittent wiper	✓	
			Storage compartments	✓	
			Cup holder	✓	
			Coat hook	✓	
			Rear view mirror	✓	

(continued on next page)

D4 Standard and Optional Equipment

Standard and Optional Equipment (continued)

Standard and optional equipment may vary. Consult your Cat dealer for details.

	Standard	Optional		Standard	Optional
CAT TECHNOLOGY			UNDERCARRIAGE		
Standard technology features:	✓		Heavy Duty Undercarriage	✓	
– Slope Indicate			Cat Abrasion Undercarriage		✓
– Stable Blade			Cat Abrasion Extended Life Undercarriage		✓
– Traction Control			Center-tread, sprocketed idler with Cat Abrasion or standard idler with Heavy Duty	✓	
– Third Party Grade Control Ready			Lifetime lubricated track rollers (8 + 2 carrier rollers) and idlers	✓	
Grade with Slope Assist (Grade Ready + Assist Features):		✓	Tracks: 40 sections – 560 mm (22 in) Heavy Duty Extreme Service (ES) for D4	✓	
– Slope Assist			Tracks: 40 sections – 760 mm (30 in) Heavy Duty Extreme Service (ES) for D4 LGP	✓	
– Attachment Ready Option (ARO)			Hydraulic track adjusters	✓	
– Standard technology features			Center track guiding guard (LGP only)	✓	
Cat Grade with 3D:		✓	Replaceable sprocket rim segments	✓	
– Full-color 10-inch (254 mm) touchscreen grade display			Full track guiding guard		✓
– Grade receivers and antennas			Front and rear track guiding guard		✓
– AutoCarry™			Center track guiding guard – Cat Abrasion Extended Life		✓
– Grade Software Enabled Attachment (SEA)			BLADES		
– Grade with Slope Assist features			VPAT blade	✓	
Compatibility with radios and base stations from Trimble, Topcon, and Leica	✓		Foldable VPAT blade		✓
Capability to install 3D grade systems from Trimble, Topcon, and Leica	✓		Manual blade pitch	✓	
Product Link, Cellular	✓		Powered blade pitch		✓
Product Link – Dual Cellular/Satellite		✓	Blade Shake	✓	
Remote Flash/Troubleshoot	✓		HYDRAULICS		
Operator ID	✓		Three valve-hydraulics	✓	
Machine Security – Passcode	✓		Load sensing hydraulics	✓	
Machine Security – Bluetooth		✓			

(continued on next page)

D4 Standard and Optional Equipment

Standard and Optional Equipment (continued)

Standard and optional equipment may vary. Consult your Cat dealer for details.

	Standard	Optional		Standard	Optional
ELECTRICAL			ATTACHMENTS		
Horn	✓		Front pull device	✓	
Back-up alarm	✓		Rigid drawbar	✓	
12V converter, 10A diagnostic connector	✓		Ripper ready package		✓
950 CCA class 31 batteries	✓		Ripper ready package, powerpitch		✓
Six halogen lights	✓		Winch ready package		✓
Six LED lights		✓	Dual (ripper and winch) ready package		✓
Eight LED lights, Premium		✓	Dual (ripper and winch) ready package, powerpitch		✓
Flashing beacon		✓	Ripper package, curved		✓
105 Amp brush-type alternator (24 volt)	✓		Ripper package, straight		✓
24V electric starter	✓		Winch preparation		✓
Cold weather starter		✓	Drawbar	✓	
Cold weather package (120V)		✓	Counterweight, rear		✓
Cold weather package (240V)		✓	Counterweight, rear slab		✓
Heated, insulated breather		✓	Rear attachment, none		✓
SERVICE AND MAINTENANCE			DEALER INSTALLED ATTACHMENTS		
Fast fuel fill		✓	Hydraulic winch arrangement		✓
Shovel mounting bracket		✓	Fairlead, three rollers		✓
Fuel refilling pump		✓	Fourth roller conversion kit		✓
High speed oil change		✓	Winch step		✓
Antifreeze coolant (-37° C/-34.6° F)	✓		GUARDING		
Extended life coolant	✓		Standard protection package (bottom guard, fan guard)	✓	
Cat HYDO™ Advanced 10 hydraulic fluid	✓		Front protection package		✓
Bio hydraulic oil		✓	Heavy duty protection package		✓
Extended service intervals (1,000 hours* engine oil and filter, 1,000 hours power train)	✓		CAB PROTECTION		
Ecology drains (engine oil, engine coolant, hydraulic)	✓		Cab standard protection package (sweeps, rear screen)		✓
Scheduled Oil Sampling (S-O-S™) ports (engine, hydrostatic, hydraulics and engine coolant)	✓		Cab heavy duty protection package (sweeps, rear screen, side screens, rear light guards)		✓
Hinged radiator louvered grill	✓		Cab rear screen		✓
			OTHER STANDARD EQUIPMENT		
			C-Frame, cylinders and lines	✓	
			Crankcase guard	✓	
			Perforated engine enclosures	✓	
			Lockable engine enclosures	✓	

*S-O-S sampling required. Please consult Operation and Maintenance Manual (OMM) for complete service information.

For more complete information on Cat products, dealer services, and industry solutions, visit us on the web at www.cat.com

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Materials and specifications are subject to change without notice. Featured machines in photos may include additional equipment. See your Cat dealer for available options.

CAT, CATERPILLAR, LET'S DO THE WORK, their respective logos, "Caterpillar Corporate Yellow," the "Power Edge" and Cat "Modern Hex" trade dress as well as corporate and product identity used herein, are trademarks of Caterpillar and may not be used without permission.

AEXQ3213-01 (06-2021)
Replaces AEXQ3213
Build Number: 16A
(Aus-NZ, Eur, Jpn,
N Am, S Korea, Turkey)



Effective with sales to the first user on or after November 1, 2010

CATERPILLAR LIMITED WARRANTY

For Selected Machine Models Designated by Caterpillar With 12 Month/Unlimited Hour Warranty

Worldwide

Caterpillar Inc. or any of its subsidiaries ("Caterpillar") warrants the following products sold by it to be free from defects in material and workmanship:

This warranty does not apply to new replacement engines.

This warranty does not apply to selected models or new replacement engines designated by Caterpillar in India and China.

(In other areas different warranties may apply. Copies of applicable warranties may be obtained by writing to Caterpillar Inc., 100 N.E. Adams St., Peoria, IL 61629.)

- New earthmoving, construction, material handling, forestry product, paving product, compact wheel loader, mini hydraulic excavator, skid steer loader, multi terrain loader, and compact track loader machines designated by Caterpillar as having 12 -months/unlimited hour warranty. See your Cat dealer for a complete listing of covered models.

- Attachments/work tools installed on such machines prior to delivery (unless covered by the Cat Work Tool warranty statement or another manufacturer's warranty). Hammer tool points and compacting plates used on hydraulic hammers are not warranted.

An additional warranty against breakage is applicable to certain Cat ground engaging tools. An additional warranty against wear is applicable to all landfill compactor tips when used in residential waste landfills. Refer to the applicable warranty statements for coverage detail.

This warranty does not apply to Cat batteries, Mobil-trac belts, rubber tracks used on multi terrain loaders, compact track loaders, and mini hydraulic excavators, or Cat Work Tools, which are covered by other Caterpillar warranties.

This warranty is subject to the following:

Warranty Period

For new machines and work tools/attachments the warranty period is 12-months/unlimited hours, starting from date of delivery to the first user.

Note: For hydraulic line's quick connect/disconnect components sold on compact wheel loaders, mini hydraulic excavators, skid steer loaders, multi terrain loaders, and compact track loader machines, the warranty period is 50 hours starting from the date of delivery to the first user.

Caterpillar Responsibilities

If a defect in material or workmanship is found during the warranty period, Caterpillar will, during normal working hours and at a place of business of a Cat dealer or other source approved by Caterpillar:

- Provide (at Caterpillar's choice) new, remanufactured, or Caterpillar approved repaired parts or assembled components needed to correct the defect.

Note: New, remanufactured, or Caterpillar approved replacement parts provided under the terms of this warranty are warranted for the remainder of the warranty period applicable to the product in which installed as if such parts were original components of that product. Items replaced under this warranty become the property of Caterpillar.

- Replace lubricating oil, filters, antifreeze, and other service items made unusable by the defect.

- Provide reasonable and customary labor needed to correct the defect, except in the case of a new replacement engine originally installed by other than a Cat dealer or source approved by Caterpillar. In this

case, labor is limited to repair only, and removal and installation is the user's responsibility.

User Responsibilities

The user is responsible for:

- Providing proof of delivery date to the first user.
- Labor costs, except as stated under "Caterpillar Responsibilities."
- Transportation costs, except as stated under "Caterpillar Responsibilities."
- Premium or overtime labor costs.
- Parts shipping charges in excess of those, that are considered usual and customary.
- Local taxes, if applicable.
- Costs to investigate complaints, unless the problem is caused by a defect in Caterpillar material or workmanship.
- Giving timely notice of a warrantable failure and promptly making the product available for repair.
- Performance of the required maintenance (including use of proper fuel, oil, lubricants, and coolant) and items replaced due to normal wear and tear.
- Allowing Caterpillar access to all electronically stored data.

(continued on the reverse side....)

Limitations

Caterpillar is not responsible for:

- Failures resulting from any use or installation that Caterpillar judges improper.
- Failures resulting from attachments, accessory items, and parts not sold or approved by Caterpillar.
- Failures resulting from abuse, neglect, and/or improper repair.

- Failures resulting from user's delay in making the product available after being notified of a potential product problem.

- Failures resulting from unauthorized repair or adjustments, and unauthorized fuel setting changes.

For products operating outside of Australia, Fiji, Nauru, New Caledonia, New Zealand, Papua New Guinea, the Solomon Islands, and Tahiti, the following is applicable:

NEITHER THE FOREGOING EXPRESS WARRANTY NOR ANY OTHER WARRANTY BY CATERPILLAR, EXPRESS OR IMPLIED, IS APPLICABLE TO ANY ITEM CATERPILLAR SELLS THAT IS WARRANTED DIRECTLY TO THE USER BY ITS MANUFACTURER.

THIS WARRANTY IS EXPRESSLY IN LIEU OF ANY OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, EXCEPT CATERPILLAR EMISSION-RELATED COMPONENTS WARRANTY FOR NEW ENGINES, WHERE APPLICABLE. REMEDIES UNDER THIS WARRANTY ARE LIMITED TO THE PROVISION OF MATERIAL AND SERVICES, AS SPECIFIED HEREIN.

CATERPILLAR IS NOT RESPONSIBLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES.

CATERPILLAR EXCLUDES ALL LIABILITY FOR OR ARISING FROM ANY NEGLIGENCE ON ITS PART OR ON THE PART OF ANY OF ITS EMPLOYEES, AGENTS, OR REPRESENTATIVES IN RESPECT OF THE MANUFACTURE OR SUPPLY OF GOODS OR THE PROVISION OF SERVICES RELATING TO THE GOODS.

IF OTHERWISE APPLICABLE, THE VIENNA CONVENTION ON CONTRACTS FOR THE INTERNATIONAL SALE OF GOODS IS EXCLUDED IN ITS ENTIRETY.

For products operating in Australia, Fiji, Nauru, New Caledonia, New Zealand, Papua New Guinea, the Solomon Islands, and Tahiti, the following is applicable:

THIS WARRANTY IS IN ADDITION TO WARRANTIES AND CONDITIONS IMPLIED BY STATUTE AND OTHER STATUTORY RIGHTS AND OBLIGATIONS THAT BY ANY APPLICABLE LAW CANNOT BE EXCLUDED, RESTRICTED OR MODIFIED ("MANDATORY RIGHTS"). ALL OTHER WARRANTIES OR CONDITIONS, EXPRESS OR IMPLIED (BY STATUTE OR OTHERWISE), ARE EXCLUDED.

NEITHER THIS WARRANTY NOR ANY OTHER CONDITION OR WARRANTY BY CATERPILLAR, EXPRESS OR IMPLIED (SUBJECT ONLY TO THE MANDATORY RIGHTS), IS APPLICABLE TO ANY ITEM CATERPILLAR SELLS THAT IS WARRANTED DIRECTLY TO THE USER BY ITS MANUFACTURER.

TO THE EXTENT PERMITTED UNDER THE MANDATORY RIGHTS, IF CATERPILLAR IS THE SUPPLIER TO THE USER, CATERPILLAR'S LIABILITY SHALL BE LIMITED AT ITS OPTION TO (a) IN THE CASE OF SERVICES, THE SUPPLY OF THE SERVICES AGAIN OR THE PAYMENT OF THE COST OF HAVING THE SERVICES SUPPLIED AGAIN, AND (b) IN THE CASE OF GOODS, THE REPAIR OR REPLACEMENT OF THE GOODS, THE SUPPLY OF EQUIVALENT GOODS, THE PAYMENT OF THE COST OF SUCH REPAIR OR REPLACEMENT OR THE ACQUISITION OF EQUIVALENT GOODS.

CATERPILLAR EXCLUDES ALL LIABILITY FOR OR ARISING FROM ANY NEGLIGENCE ON ITS PART OR ON THE PART OF ANY OF ITS EMPLOYEES, AGENTS OR REPRESENTATIVES IN RESPECT OF THE MANUFACTURE OR SUPPLY OF GOODS OR THE PROVISION OF SERVICES RELATING TO THE GOODS.

CATERPILLAR IS NOT LIABLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES UNLESS IMPOSED UNDER MANDATORY RIGHTS.

IF OTHERWISE APPLICABLE, THE VIENNA CONVENTION (CONTRACTS FOR THE INTERNATIONAL SALE OF GOODS) IS EXCLUDED IN ITS ENTIRETY.

This warranty covers every major component of the products. Claims under this warranty should be submitted to a place of business of a Cat dealer or other source approved by Caterpillar. For further information concerning either the location to submit claims or Caterpillar as the issuer of this warranty, write Caterpillar Inc., 100 N. E. Adams St., Peoria, IL USA 61629.



Employment Eligibility Verification

Welcome
Terena Morton

User ID
TMOFS-102

Last Login
09:22 AM - 01/06/2012

Log Out



Click any for help

[Home](#)

[My Cases](#)

[New Case](#)

[View Cases](#)

[Search Cases](#)

[My Profile](#)

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[Change Security Questions](#)

[My Company](#)

[Edit Company Profile](#)

[Add New User](#)

[View Existing Users](#)

[Close Company Account](#)

[My Reports](#)

[View Reports](#)

[My Resources](#)

[View Essential Resources](#)

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[View User Manual](#)

[Contact Us](#)

Company Information

Company Name: Thompson Tractor Co., Inc.

[View / Edit](#)

Company ID Number: 47130

Doing Business As (DBA)
Name:

DUNS Number:

Physical Location:

Address 1: 2401 Pinson Highway

Address 2:

City: Birmingham

State: AL

Zip Code: 35217

County: JEFFERSON

Mailing Address:

Address 1: P O. Box 10367

Address 2:

City: Birmingham

State: AL

Zip Code: 35202-0367

Additional Information:

Employer Identification Number: 630377478

Total Number of Employees: 1,000 to 2,499

Parent Organization:

Administrator:

Organization Designation:

Employer Category:

NAICS Code: 423 - MERCHANT WHOLESALERS, DURABLE GOODS

[View / Edit](#)

Total Hiring Sites: 40

[View / Edit](#)

Total Points of Contact: 3

[View / Edit](#)

[View MDU](#)

Request for Taxpayer Identification Number and Certification

Give form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)

Thompson Tractor Co., Inc. DBA Thompson Power Systems, Thompson Lift Truck Co.

Business name, if different from above

and The Cat Rent Store

Check appropriate box: ☐ Individual/Sole proprietor ☒ Corporation ☐ Partnership

☐ Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶

☐ Other (see instructions) ▶

☒ Exempt
payee

Address (number, street, and apt. or suite no.)

P O Box 10367 2401 Pinson Hwy. Tarrant, AL 35217

City, state, and ZIP code

Birmingham, AL 35202-0367

Requester's name and address (optional)

List account number(s) here (optional)

Lockbox Remit To: P O Box 934005, Atlanta, GA 31193-4005

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I Instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number

63 : 0377478

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification Instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the Instructions on page 4.

Sign
Here

Signature of
U.S. person ▶

Linda L. Duncanson, Controller

Date ▶

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity.

Company ID Number: 47130

the Employer issues a tentative nonconfirmation based upon a photo non-match. The Employer will determine whether the employee contests the tentative nonconfirmation as soon as possible after the Employer receives it.

4. If the employee contests a tentative nonconfirmation issued by DHS, the Employer will provide the employee with a referral letter and instruct the employee to contact the Department through its toll-free hotline within 8 Federal Government work days.

5. If the employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will provide the employee with a referral letter to DHS. DHS will electronically transmit the result of the referral to the Employer within 10 Federal Government work days of the referral unless it determines that more than 10 days is necessary.

6. The Employer agrees that if an employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will send a copy of the employee's Form I-551 or Form I-766 to DHS for review by:

- Scanning and uploading the document, or
- Sending a photocopy of the document by an express mail account (furnished and paid for by DHS).

7. The Employer understands that if it cannot determine whether there is a photo match/non-match, the Employer is required to forward the employee's documentation to DHS by scanning and uploading, or by sending the document as described in the preceding paragraph, and resolving the case as specified by the Immigration Services Verifier at DHS who will determine the photo match or non-match.

ARTICLE IV

SERVICE PROVISIONS

The SSA and DHS will not charge the Employer for verification services performed under this MOU. The Employer is responsible for providing equipment needed to make inquiries. To access the E-Verify System, an Employer will need a personal computer with Internet access.

ARTICLE V

PARTIES

This MOU is effective upon the signature of all parties, and shall continue in effect for as long as the SSA and DHS conduct the E-Verify program unless modified in writing by the mutual consent of all parties, or terminated by any party upon 30 days prior written notice to the others. Any and all system enhancements to the E-Verify program by DHS or SSA, including but not limited to the E-Verify checking against additional data sources and instituting new verification procedures, will be covered under this MOU and will not cause the need for a supplemental MOU that outlines these changes. DHS agrees to train employers on all changes made to E-Verify through the use of mandatory refresher tutorials and updates to the E-Verify manual. Even

Company ID Number: 47130

without changes to E-Verify, the Department reserves the right to require employers to take mandatory refresher tutorials.

Termination by any party shall terminate the MOU as to all parties. The SSA or DHS may terminate this MOU without prior notice if deemed necessary because of the requirements of law or policy, or upon a determination by SSA or DHS that there has been a breach of system integrity or security by the Employer, or a failure on the part of the Employer to comply with established procedures or legal requirements. Some or all SSA and DHS responsibilities under this MOU may be performed by contractor(s), and SSA and DHS may adjust verification responsibilities between each other as they may determine.

Nothing in this MOU is intended, or should be construed, to create any right or benefit, substantive or procedural, enforceable at law by any third party against the United States, its agencies, officers, or employees, or against the Employer, its agents, officers, or employees.

Each party shall be solely responsible for defending any claim or action against it arising out of or related to E-Verify or this MOU, whether civil or criminal, and for any liability wherefrom, including (but not limited to) any dispute between the Employer and any other person or entity regarding the applicability of Section 403(d) of IIRIRA to any action taken or allegedly taken by the Employer.

The employer understands that the fact of its participation in E-Verify is not confidential information and may be disclosed as authorized or required by law and DHS or SSA policy, including but not limited to, Congressional oversight, E-Verify publicity and media inquiries, and responses to inquiries under the Freedom of Information Act (FOIA).

The foregoing constitutes the full agreement on this subject between the SSA, DHS, and the Employer.

The individuals whose signatures appear below represent that they are authorized to enter into this MOU on behalf of the Employer and DHS respectively.

To be accepted as a participant in E-Verify, you should only sign the Employer's Section of the signature page. If you have any questions, contact E-Verify Operations at 888-464-4218.

Employer Thompson Tractor Co., Inc.

Frank M Wright

Name (Please type or print)

Title

Electronically Signed

07/11/2007

Signature

Date

Department of Homeland Security – Verification Division

Company ID Number: 47130

**INFORMATION REQUIRED
FOR THE E-VERIFY PROGRAM**

Information relating to your Company:

Company Name: Thompson Tractor Co., Inc.

Company Facility Address: 2401 Pinson Highway
Birmingham, AL 35217

Company Alternate Address: P.O. Box 10367
Birmingham, AL 35202-0367

County or Parish: JEFFERSON

Employer Identification Number: 630377478

North American Industry
Classification Systems Code: 423

Parent Company: _____

Number of Employees: 1,000 to
2,499 Number of Sites Verified for: 5

Are you verifying for more than 1 site? If yes, please provide the number of sites verified for in each State.

- GEORGIA 5 site(s)

Information relating to the Program Administrator(s) for your Company on policy questions or operational problems:

Name:	Kimberly A Stark		
Telephone Number:	(205) 849 - 4279	Fax Number:	(205) 849 - 4565
E-mail Address:	kimberlystark@thompsontractor.com		
Name:	Frank M Wright		
Telephone Number:	(205) 849 - 4267	Fax Number:	(205) 849 - 4854
E-mail Address:	frankwright@thompsontractor.com		

Company ID Number: 47130

USCIS Verification Division

Name (Please type or print)

Title

Electronically Signed

07/11/2007

Signature

Date

A SIMPLIFIED WAY TO COUNT ON WORLD-CLASS PERFORMANCE.

CAT DOZERS. THE NAMES ARE CHANGING. THE PERFORMANCE BEHIND THEM NEVER WILL.



D1
D3K2
D3K
75 hp +

D2
D4K2
D4K
85 hp +

D3
D5K2
D5K
100 hp +

D4
D6K2
D6K
125 hp +

D5
D6N
D5R2
160 hp +

D6
D6T
D6R2
200 hp +

D7
D7E
D7R
240 hp +

D8
D8T
D8R
320 hp +

D9
D9T
D9R
405 hp +

D10
D10T2
600 hp +

D11
D11T
850 hp +

