# INVESTING IN ALABAMA COUNTIES INVITATION TO BID 2022-05 PERSONAL PROTECTIVE EQUIPMENT

### Section I – Invitation to Bid

#### NOTICE OF BID OPENING

**NOTICE IS HEREBY GIVEN** that the Association of County Commissions of Alabama (ACCA) Investing in Alabama Counties (IAC) program, which administers the IAC Joint Bid Program on behalf of participating Counties' governing bodies, shall receive and open bids for the purchase of personal protective equipment (PPE) as solicited by this Invitation to Bid (ITB).

By no later than 10:00 a.m. CDT on Monday, August 15, 2022, all bids must be mailed or hand-delivered to:

Attn: IAC Joint Bid Program 2 North Jackson Street, Suite 301, Montgomery, Alabama 36104 (Physical Address)

Only bids received in the ACCA office by 10:00 a.m. on Monday, August 15, 2022, will be opened and considered. Bidders and any other interested individuals are invited to attend the bid opening.

#### THE INVITATION PACKAGE

The bid invitation package for PPE includes this ITB and all attachments and addenda thereto. Bidders must verify that they have received all pages of the invitation package. If there are any omissions, the bidder should contact Katherine Jessip at <u>iac@alabamacounties.org</u> to request missing pages. It is the responsibility of the bidder to make this request in sufficient time to prepare and submit the bid in time for the bid opening.

Bidders must carefully read and comply with all parts of the invitation package, including all attachments and/or any addenda.

#### CONTACT REGARDING BIDS AND INVITATION

Contact initiated by a potential bidder with any County official, County employee, or member of the ACCA staff shall only be as specifically set out in this ITB. Any questions related to the bid or the IAC Joint Bid Program shall be directed to IAC staff in writing under the procedures set out in this ITB.

Additionally, a bidder may contact IAC in writing to request an appointment to review bid specifications following the bid opening. However, there shall be no communication with any County official or County employee regarding this bid between the date of this invitation and the date of bid award. Any other contact with a County official or employee or with the ACCA initiated by a potential bidder regarding this bid between the date of this invitation and an attempt to unduly influence the bid award and shall

be grounds for rejection of the bid submitted by the bidder initiating such other contact.

Any questions or problems related to downloading or obtaining copies of this ITB or the specifications should be directed to **Katherine Jessip** at <u>iac@alabamacounties.org</u> or 334-263-7594. Any other questions or requests for additional information regarding this invitation or the specifications shall be submitted **in writing** by 12:00 p.m. Central Time on August 8, 2022, to <u>iac@alabamacounties.org</u>, and labeled as "ITB 2022-05: PPE."

#### **BID SPECIFICATIONS**

The specifications for PPE are included in Section II below. Any use of specific names and/or model numbers in the attached specifications is not intended to restrict the bidder or any seller or manufacturer, but is included solely for the purpose of indicating the type, size, and quality of materials, product services, or equipment considered best adapted to the use of the IAC Counties participating in the joint invitation to bid.

#### BIDDER QUALIFICATIONS

All bidders should be prepared to submit evidence or documentation as proof that they are properly registered to do business in the state of Alabama and that the products provided meet the bid specifications. Such evidence or documentation may be submitted with the bid.

#### **BID EVALUATION**

The Elmore County Commission will serve as the awarding authority for all bids and will award all contracts at a regular meeting of the Elmore County Commission.

Any and all bids submitted in compliance with this ITB shall be considered, and award will be made to the lowest responsible bidder meeting bid specifications as determined by the awarding authority in compliance with Alabama law and the County's American Rescue Plan Act (ARPA) award, as applicable. Each item will be awarded separately based on lowest responsible bid.

All bids will be reviewed and evaluated by a committee created for that purpose, which will thereafter make comments and recommendations to the awarding authority regarding the award. All factors contained in each invitation package will be evaluated in determining the successful bidder, and any omissions of the stated requirements may be cause for rejection of the bid submitted.

The awarding authority reserves the right to reject any and all bids, to waive any informality in bids, and to accept in whole or in part such bid or bids solely at its discretion.

#### **BID AWARD**

Following approval from the awarding authority, a purchase order (P.O.) will be issued for the materials by the individual Counties participating in the program. The initial P.O. will begin upon the date of issuance by the County and will continue until September 30, 2022, the end of the County's fiscal year. Thereafter, with approval of both the County and the selected vendor, the agreement may be renewed for up to four additional 12-month periods.

IAC and individual County agencies reserve the right to purchase any or all items bid in various quantities as needed.

It is anticipated that purchases made pursuant to the bid award may be funded, in whole or in part, with American Rescue Plan Act state and local fiscal recovery funds (ARPA funds). However, purchases made pursuant to the bid award are not limited to those made with ARPA funds. To the extent that such purchases are funded in whole or part with ARPA funds, all costs associated with the resulting agreement must be obligated by December 31, 2024, and expended by December 31, 2026.

#### PREPARING AND SUBMITTING BIDS

All bids must be typed or handwritten in ink on the attached Bid Submittal Forms. Bids submitted in pencil and bids not submitted on the Bid Submittal Form will **not** be considered. Only information contained on the Bid Submittal Form or herein requested or required will be considered in evaluating bids.

The Bid Submittal Form and all required documentation shall be forwarded to the IAC's office in a sealed envelope with "IAC ITB 2022-05: PPE" clearly marked on the outside of the envelope. Facsimiles, emails, and oral bids will not be accepted. Bids submitted by express/overnight services must be in a separate inner envelope or package sealed and identified as stated above. Bids that are prematurely opened due to failure of bidder to appropriately mark the package will not be considered. All bids must be received in the IAC office prior to the bid opening. Bids received after the deadline will be returned unopened.

The IAC Joint Bid Program reserves the right to require a performance bond from successful bidders as permitted under Alabama law. However, **no bid bond is required for this bid offering**.

### **CONTENT OF BIDS**

The completed Bid Submittal Form (Attachment 1) shall be placed in front of and separated from all other documents included in the bid packet, such that it will be the first document viewed upon opening the bid packet.

The Bid Form (Attachment 2) must be used to provide the bidder's firm, fixed cost for goods. The cost shall remain firm for the duration of the bid term, including any agreed-upon renewals or extensions. Bid prices for these goods will be awarded for each of the 41 participating IAC Counties within Alabama.

#### Consistent with Alabama law, the following forms are also required as part of the bid package:

- Section 41-16-82 of the Code of Alabama requires a disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals submitted to the state of Alabama in excess of \$5,000.00. The form is available online at <u>https://www.alabamaag.gov/forms</u>.
- Bidder must provide a copy of the CERTIFICATE OF COMPLIANCE WITH ACT 2016-312.
- Bidder must provide a copy of its Beason-Hammon Certificate and a copy of E-Verify MOU entered into with the Department of Homeland Security. The Beason-Hammon Certificate of Compliance is available online at <u>https://www.alabamaag.gov/forms</u>.
- Bidder must provide a copy of its W-9. A Form W-9 is available online at <u>https://www.irs.gov/pub/irs-pdf/fw9.pdf</u>.

For your convenience, copies of these forms are also included as Attachment 3.

#### The bid must also include documentation as provided in the bid specifications in Section II below.

#### MINIMUM LEGAL REQUIREMENTS

Bidders shall be compliant with all relevant federal, state, and local laws, regulations, and ordinances in the performance of this contract. With respect to conflicts of law principles, Alabama law shall apply to the services provided pursuant to this ITB. At a minimum, bidders must be compliant with the following:

Section 31-13-1, *et seq.*, of the Code of Alabama 1975 imposes conditions on the award of county contracts. Firms must agree to fully comply with the Immigration Reform and Control Act of 1986, as amended by the Immigration Act of 1990, and the Beason-Hammon Alabama Taxpayer and Citizen Protection Act. By submitting a bid, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment or continue to employ an unauthorized alien within the state of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom.

Section 41-16-5 of the Code of Alabama 1975 imposes conditions on the award of County contracts. The bidder must certify that it is not currently engaged in, and will not engage in, the boycott of a person or an entity based in or doing business with a jurisdiction with which this state can enjoy open trade.

Bidder agrees to comply with the requirements of section 603 of the American Rescue Plan Act, Pub. L. No. 117-2 (March 11, 2021) (the "Act"), regulations adopted by the U.S. Department of Treasury (Treasury) pursuant to section 603(f) of the Act, codified as 31 C.F.R. Part 35, and guidance issued by Treasury regarding the foregoing. Bidder shall provide for such compliance by other parties in any agreements it enters into with other parties relating to this ITB.

Below is a list of federal regulations identified by Treasury as applicable to the County's ARPA award and may be applicable to this ITB. Nothing herein should be construed to impose additional obligations on the bidder not otherwise required by the following regulations:

- 1. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 C.F.R. Part 200, other than such provisions as Treasury may determine are inapplicable to this award and subject to such exceptions as may be otherwise provided by Treasury;
- OMB Guidelines to Agencies on Governmentwide Debarment and Suspension Non-Procurement, 2 C.F.R. Part 180, including the requirement to include a term or condition in all lower tier covered transactions (contracts and subcontracts described in 2 C.F.R. Part 180, subpart B) that the award is subject to 2 C.F.R. Part 80 and Treasury's implementing regulation at 31 C.F.R. Part 19;
- 3. Governmentwide Requirements for Drug-Free Workplace, 31 C.F.R. Part 20;
- 4. New Restrictions on Lobbying, 31 C.F.R. Part 21; and
- 5. Generally applicable federal environmental laws and regulations.

Statutes and regulations prohibiting discrimination applicable to the County's ARPA award and which may be relevant to the bidder include, without limitation, the following:

- 1. Title VI of the Civil Rights Act of 1964 (42 U.S.C. §§ 2000d, *et seq.*) and Treasury's implementing regulations at 31 C.F.R. Part 22, which prohibit discrimination on the basis of race, color, or national origin under programs or activities receiving federal financial assistance;
- 2. The Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601, *et seq.*), which prohibits discrimination in housing on the basis of race, color, religion, national origin, sex, familial status, or disability;
- 3. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance;
- 4. The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101, *et seq*.), and Treasury's implementing regulations at 31 C.F.R. Part 23, which prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance; and
- 5. Title II of the Americans with Disabilities Act of 1990, as amended (42 U.S.C. §§ 12101, *et seq*.), which prohibits discrimination on the basis of disability under programs, activities, and services provided or made available by state and local governments or instrumentalities or agencies thereto.

Bidder agrees to comply, as applicable, with requirements of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328), which limit certain political activities of state or local government employees whose principal employment is in connection with an activity financed in whole or in part by this federal assistance.

Bidder understands that making false statements or claims in connection with the use of ARPA funds is a violation of federal law and may result in criminal, civil, or administrative sanctions, including fines, imprisonment, civil damages and penalties, debarment from participating in federal awards or contracts, and/or any other remedy available by law.

In accordance with 41 U.S.C. § 4712, bidder may not discharge, demote, or otherwise discriminate against an employee in reprisal for disclosing, to any of the list of persons or entities provided below, information that the employee reasonably believes is evidence of gross mismanagement of a federal contract or grant, a gross waste of federal funds, an abuse of authority relating to a federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal contract (including the competition for or negotiation of a contract) or grant.

The list of persons and entities referenced in the paragraph above includes the following:

- 1. A member of Congress or a representative of a committee of Congress;
- 2. An Inspector General;
- 3. The Government Accountability Office;
- 4. A Treasury employee responsible for contract or grant oversight or management;
- 5. An authorized official of the Department of Justice or other law enforcement agency;
- 6. A court or grand jury; or
- 7. A management official or other employee of the County, contractor, or subcontractor who has the responsibility to investigate, discover, or address misconduct.

Bidder shall inform their employees in writing of the rights and remedies provided for whistleblowers in the predominant native language of the workforce.

Pursuant to Executive Order 13043, 62 F.R. 19217 (April 18, 1997), bidders are encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented, or personally owned vehicles.

Pursuant to Executive Order 13513, 74 F.R. 51225 (Oct. 6, 2009), bidders are encouraged to adopt and enforce policies that ban text messaging while driving, and recipient should establish workplace safety policies to decrease accidents caused by distracted drivers.

### **Section II - Bid Specifications**

All products shall meet or exceed specifications as outlined in this ITB as set out below. Exceptions to the bid specifications provided in this Section must be included as a separate document attached to the Bid Submittal Form with a specific reference to the excepted criteria. No other exceptions to other terms and conditions will be accepted. The awarding authority, in its sole discretion, may accept or reject these exceptions in whole or in part. The use of specific names or numbers in the specifications is not intended to restrict the bidder or any seller or supplier but is intended solely for the purpose of indicating the type and quality of material considered best adapted to the uses of Alabama participating Counties.

All material bids must be F.O.B. destination. Any freight charges and delivery fees must be included in the bid prices. F.O.B. destination is the location specified for delivery, including job site within that county. The vendor is responsible for freight charges, risk of loss, or damages to the materials up to the destination where the materials are received.

The requested PPE shall be available within seven (7) days of Purchase Order from the County's designated representative, unless a time extension is given by the County. Supplier shall give the County's designated representative a minimum 48-hour notice in advance of delivery.

### As part of its packet, bidder must include a description of the products to be provided with an explanation as to how the product meets the requisite criteria.

The requirements below have been developed to allow the awarding authority to uniformly evaluate prices submitted for the products. No warranty or guarantee of quantities needed is given or implied. It is understood that the bidder will furnish the County's needs as they arise.

Price(s) shall reflect the cost of the following PPE:

#### 1. Personal Protective Masks

- Disposable surgical mask with high fluid resistance, good breathability, internal and external faces clearly identified, structured design that does not collapse against the mouth (e.g., duckbill, cupshaped);
- b. Appropriate for use by public employees, county jail staff, and county jail detainees and inmates as recommended by the Centers for Disease Control (CDC).

#### 2. Particulate Respirator

- a. Provides good breathability with design that does not collapse against the mouth (e.g., duckbill, cupshaped);
- b. Standard "N95" NIOSH approved respirator or equivalent;
- c. Appropriate for use by public employees, county jail staff, and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC.

#### 3. Personal protective gloves, size small

- a. Nonsterile, disposable nitrile gloves;
- b. Gloves must be exam grade, 6 mils and 9 ½ inches in length;
- c. Appropriate for use by public employees, county jail staff, and county jail inmate workers (including

county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC.

#### 4. Personal protective gloves, size medium

- a. Nonsterile, disposable nitrile gloves;
- b. Gloves must be exam grade, 4-6 mils and 9 1/2 inches in length;
- c. Appropriate for use by public employees, county jail staff, and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC.

#### 5. Personal protective gloves, size large

- a. Nonsterile, disposable nitrile gloves;
- b. Gloves must be exam grade, 4-6 mils and 9 1/2 inches in length;
- c. Appropriate for use by public employees, county jail staff, and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC.

#### 6. Personal protective gloves, size x-large

- a. Nonsterile, disposable nitrile gloves;
- b. Gloves must be exam grade, 4-6 mils and 9 ½ inches in length;
- c. Appropriate for use by public employees, county jail staff, and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC.

#### 7. Personal protective gloves, size xx-large

- a. Nonsterile, disposable nitrile gloves;
- b. Gloves must be exam grade, 4-6 mils and 9 1/2 inches in length;
- c. Appropriate for use by public employees, county jail staff, and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC.

#### 8. Face Shield

- a. Made of clear plastic and provides good visibility to both the wearer and the patient, adjustable band to attach firmly around the head and fit snugly against the forehead, fog resistant (preferable), completely covers the sides and length of the face;
- b. May be reusable (made of robust material which can be cleaned and disinfected) or disposable;
- Appropriate for use by county jail staff and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC;
- d. ANSI/ISEA Z87.1-2010, or equivalent.

#### 9 Personal protective gowns, size small

- a. Single use, fluid-resistant, disposable, length to mid-calf to cover the top of boots, light colors (to better detect possible contamination), thumb/finger loops or elastic cuffs to anchor sleeves in place;
- Appropriate for use by county jail staff and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC;
- c. Meets AAMI PB70 level 3 performance or above, or equivalent.

#### 10. Personal protective gowns, size medium

- a. Single use, fluid-resistant, disposable, length to mid-calf to cover the top of boots, light colors (to better detect possible contamination), thumb/finger loops or elastic cuffs to anchor sleeves in place;
- b. Appropriate for use by county jail staff and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for

COVID-19) as recommended by the CDC;

c. Meets AAMI PB70 level 3 performance or above, or equivalent.

#### 11. Personal protective gowns, size large

- a. Single use, fluid-resistant, disposable, length to mid-calf to cover the top of boots, light colors (to better detect possible contamination), thumb/finger loops or elastic cuffs to anchor sleeves in place;
- Appropriate for use by county jail staff and county jail inmate workers (including county jail inmate workers in close contact or staff supervising inmates or detainees suspected of or tested positive for COVID-19) as recommended by the CDC;
- c. Meets AAMI PB70 level 3 performance or above, or equivalent.

# Attachment 1 BID SUBMITTAL FORM

\_\_\_\_\_

\_\_\_\_\_

| BID ITEM: PPE  |          |
|--|----------|
| Company Name:  |          |
| Address:   |          |
| Bid Submitted by:  |          |
| (Name of companyrepresentative)  |          |
| Title: Email address:  |          |
| Phone:   |          |
| By submitting this bid, we agree:  | Initials |
| That the product bid meets the bid specifications for that bid item.   |          |
| That the bid price will be honored for all counties participating<br>in the IAC Joint Bid Program for the period through<br>September 30, 2022, and upon mutual agreement of any<br>renewal term thereafter. |          |
| That goods provided from awarded bidder as described<br>in this bid will be provided at the bid price to each County participating<br>in the IAC joint bid program.  |          |
| That the company representative listed above will be the source of contact for the county wishing to purchase this bid item under the IAC Joint Bid Program.   |          |
| That each item will be separately awarded to the lowest responsible bidder meeting specifications as provided in the bid request.  |          |
| That the bid includes the forms required under Alabama law as defined in this ITB.   |          |
| That the bidder agrees to be compliant with the minimal legal terms as defined in this ITB.  |          |
| That bidder will provide a performance bond upon request.  |          |
| That the bidder is not suspended or debarred from contracting Pursuant to 2 C.F.R. §200.214.   |          |

Signature of company representative submitting bid:

## Attachment 2 BID FORM PPE

#### Bidder Name: \_\_\_\_\_

Bidder must quote firm, fixed costs for products outlined in the Bid Specifications. These firm fixed costs for the project include any costs for shipping and handling. No other costs will be accepted.

Bidders are not required to bid on all items.

| Item Description                               | Pack Size  | Price per Pack |
|--|------------|----------------|
| Line 1 – Personal Protective Masks             | Pack of 50 |                |
| Line 2 – Particulate Respirator                | Pack of 50 |                |
| Line 3 – Personal Protective Gloves – small    | Box of 100 |                |
| Line 4 – Personal Protective Gloves – medium   | Box of 100 |                |
| Line 5 – Personal Protective Gloves – large    | Box of 100 |                |
| Line 6 – Personal Protective Gloves – x-large  | Box of 100 |                |
| Line 7 – Personal Protective Gloves – xx-large | Box of 100 |                |
| Line 8 – Face Shield                           | Pack of 10 |                |
| Line 9 – Personal Protective Gown – small      | Pack of 50 |                |
| Line 10 – Personal Protective Gown – medium    | Pack of 50 |                |
| Line 11 – Personal Protective Gown – large     | Pack of 50 |                |

By signing below, bidder agrees to supply the goods at the prices bid below in accordance with the terms, conditions, and specifications of this ITB.

Submitted by :

Name (printed)

Signature

Date

Title

# Attachment 3 REQUIRED FORMS



ENTITY COMPLETING FORM

### State of Alabama

# Disclosure Statement Required by Article 3B of Title 41, Code of Alabama 1975

| ADDRESS   |   |
|---|---|
| CITY, STATE, ZIP  | TELEPHONE NUMBER  |
| STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GR.  | ANT AWARD   |
| ADDRESS   |   |
| CITY, STATE, ZIP  | TELEPHONE NUMBER  |
| This form is provided with:   |   |
| Contract Proposal Request for Proposal  | Invitation to Bid Grant Proposal                                |
| Have you or any of your partners, divisions, or any related business units<br>Agency/Department in the current or last fiscal year?<br>Yes No<br>If yes, identify below the State Agency/Department that received the goods<br>vided, and the amount received for the provision of such goods or services | s or services, the type(s) of goods or services previously pro- |
| STATE AGENCY/DEPARTMENT TYPE OF GOODS/SER   | VICES AMOUNT RECEIVED   |
|   |   |
|   |   |
|   |   |
|   |   |
| Have you or any of your partners, divisions, or any related business units<br>Agency/Department in the current or last fiscal year?   | previously applied and received any grants from any State       |
| If yes, identify the State Agency/Department that awarded the grant, the di   | ate such grant was awarded, and the amount of the grant.        |
| STATE AGENCY/DEPARTMENT DATE GRANT AWAR   | DED AMOUNT OF GRANT   |
|   |   |
| <ol> <li>List below the name(s) and address(es) of all public officials/public empl<br/>any of your employees have a family relationship and who may directly</li> </ol>  |   |
| Identify the State Department/Agency for which the public officials/public  |   |
| NAME OF PUBLIC OFFICIAL/EMPLOYEE ADDRESS  | STATE DEPARTMENT/AGENCY   |
|   |   |
|   |   |
|   |   |

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

| NAME OF<br>FAMILY MEMBER ADDRESS   | NAME OF PUBLIC OFFICIAL/<br>PUBLIC EMPLOYEE   | STATE DEPARTMENT/<br>AGENCY WHERE EMPLOYED                           |
|--|---|--|
|  |   |  |
|  |   |  |
|  |   |  |
| f you identified individuals in items one and/or two above, descrit<br>ifficials, public employees, and/or their family members as the re<br>rant proposal. (Attach additional sheets if necessary.) | be in detail below the direct financial ber<br>sult of the contract, proposal, request fo | nefit to be gained by the publi<br>or proposal, invitation to bid, c |
| an proposal (Attach additional sheets if necessary.)   |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
| Describe in detail below any indirect financial benefits to be gaine   | d by any public official, public employee,  | and/or family members of th  |
| public official or public employee as the result of the contract, prop   | posal, request for proposal, invitation to  | bid, or grant proposal. (Atta  |

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal: ADDRESS

NAME OF PAID CONSULTANT/LOBBYIST

additional sheets if necessary.)

By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.

| Signature  | Date   |   |
|--|--|---|
| Notary's Signature   | Date   | Date Notary Expires                         |
| Article 3B of Title 41, Code of Alabama<br>contracts, or grant proposals to the Stat | 1975 requires the disclosure statement to be com<br>e of Alabama in excess of \$5,000. | npleted and filed with all proposals, bids, |
|  | Page 2 of 2  | Revised: 09/2013                            |

State of \_\_\_\_\_ )
County of \_\_\_\_\_ )

CERTIFICATE OF COMPLIANCE WITH THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535, as amended by ACT 2012-491)

DATE: \_\_\_\_

RE Contract/Grant/Incentive (describe by number or subject):

\_\_\_\_\_\_ by and between \_\_\_\_\_\_ (Contractor/Grantee) and \_\_\_\_\_\_ (State Agency, Department or Public Entity

The undersigned hereby certifies to the State of Alabama as follows:

| 1.      | The under   | signed holds the p                         | osition of           | with the Contractor/Grantee named                                      |  |  |  |  |  |  |
|---------|---|--|----------------------|--|--|--|--|--|--|--|
|         | above, and  | I is authorized to p                       | provide representa   | ations set out in this Certificate as the official and                 |  |  |  |  |  |  |
|         | binding ac  | t of that entity, and                      | d has knowledge o    | of the provisions of THE BEASON-HAMMON                                 |  |  |  |  |  |  |
|         | ALABAIVIA   | TAXPAYER AND CI                            | TIZEN PROTECTIO      | N ACT (ACT 2011-535 of the Alabama Legislature,                        |  |  |  |  |  |  |
| -       | as amende   | d by ACT 2012-49                           | 1) which is describ  | bed herein as "the Act."   |  |  |  |  |  |  |
| 2.      | Using the following definitions from Section 3 of the Act, select and initial either (a) or (b),<br>below, to describe the Contractor/Grantee's business structure. |  |                      |  |  |  |  |  |  |  |
|         | BL  | SINESS ENTITY A                            | ny person or grou    | ip of persons employing one or more persons                            |  |  |  |  |  |  |
|         | ne  | rforming or engagi                         | ing in any activity  | , enterprise, profession, or occupation for gain,                      |  |  |  |  |  |  |
|         | be  | nefit, advantage, o                        | or livelihood, whet  | ther for profit or not for profit.                                     |  |  |  |  |  |  |
|         | a.  | Self-employe                               | ed individuals, bus  | iness entities filing articles of incorporation,                       |  |  |  |  |  |  |
|         | pa  | rtnerships, limited                        | partnerships, lim    | ited liability companies, foreign corporations,                        |  |  |  |  |  |  |
|         | for   | eign limited partn                         | erships, and foreig  | gn limited liability companies authorized to                           |  |  |  |  |  |  |
|         | tra   | nsact business in t<br>th the Secretary of | this state, business | s trusts, and any business entity that registers                       |  |  |  |  |  |  |
|         | b.  | Any business                               | entity that posses   | sses a business license, permit, certificate,                          |  |  |  |  |  |  |
|         | ap  | proval, registration                       | n, charter, or simil | ar form of authorization issued by the state, any                      |  |  |  |  |  |  |
|         | bus   | siness entity that is                      | s exempt by law fr   | rom obtaining such a business license, and any                         |  |  |  |  |  |  |
|         | bus   | siness entity that is                      | s operating unlaw    | fully without a business license.                                      |  |  |  |  |  |  |
|         | EM  | PLOYER. Any pers                           | son, firm, corporat  | tion, partnership, joint stock association, agent,                     |  |  |  |  |  |  |
|         | ma  | nager, representat                         | tive, foreman, or o  | other person having control or custody of any                          |  |  |  |  |  |  |
|         | em  | ployment, place of                         | femployment or       | of any employee, including any person or entity                        |  |  |  |  |  |  |
|         | em  | ploying any person                         | n for hire within th | he State of Alabama, including a public employer.                      |  |  |  |  |  |  |
|         | Thi   | s term shall not in                        | clude the ecoure     | ne state of Alabama, including a public employer.                      |  |  |  |  |  |  |
|         | to  | perform casual dor                         | mestic labor within  | nt of a household contracting with another person<br>in the household. |  |  |  |  |  |  |
| (a      | ) The Contrac   | :tor/Grantee is a b                        | usiness entity or e  | employer as those terms are defined in Section 3                       |  |  |  |  |  |  |
|         | of the Act.   | N 192 11 183                               | 633                  |  |  |  |  |  |  |  |
| (b      | Section 3 of  | f the Act.                                 |                      | or employer as those terms are defined in                              |  |  |  |  |  |  |
| 3.      | As of the da  | te of this Certifica                       | te, the Contractor   | r/Grantee does not knowingly employ an                                 |  |  |  |  |  |  |
|         | unauthorize   | ed alien within the                        | State of Alabama     | and hereafter it will not knowingly employ, hire                       |  |  |  |  |  |  |
|         | for employr   | nent, or continue                          | to employ an una     | uthorized alien within the State of Alabama;                           |  |  |  |  |  |  |
| 1.      | The Contractor/Grantee is enrolled in E-Verify unless it is not eligible to enroll because of the   |  |                      |  |  |  |  |  |  |  |
|         | rules of tha  | t program or other                         | r factors beyond it  | ts control.  |  |  |  |  |  |  |
| Certifi | ed this   | day of                                     | 20                   |  |  |  |  |  |  |  |
|         |   |  |                      |  |  |  |  |  |  |  |
|         |   |  | -                    | Name of Contractor/Grantee/Recipient                                   |  |  |  |  |  |  |
|         |   |  | (m. 1971)            |  |  |  |  |  |  |  |
|         |   |  | Ву:                  |  |  |  |  |  |  |  |

The above Certification was signed in my presence by the person whose name appears above, on this \_\_\_\_\_\_ day of \_\_\_\_\_\_ 20\_\_\_\_.

WITNESS:

Printed Name of Witness

#### CERTIFICATE OF COMPLIANCE WITH ACT 2016-312

DATE:\_\_\_

Re: Contract/Grant/Incentive (describe by number or subject):

|                          | by | and | between |                              |
|--------------------------|----|-----|---------|------------------------------|
| (Contractor/Grantee) and |    |     |         | (State Agency, Department or |
| Public Entity.           |    |     |         |                              |

The undersigned hereby certifies to the State of Alabama as follows:

- 1. The undersigned holds the position of \_\_\_\_\_\_ with the Contractor/Grantee named above, and is authorized to provide representations set out in this Certificate as the official and binding act of that entity, and has knowledge of Alabama's Act 2016-312.
- 2. In compliance with Act 2016-312, the contractor hereby certifies that it is not currently engaged in, and will not engage in, the boycott of a person or an entity based in or doing business with a jurisdiction with which this state can enjoy open trade.

Certified this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Name of Contractor/Grantee/Recipient

| By:  |  |  |  |
|------|--|--|--|
|      |  |  |  |
| Its: |  |  |  |

The above Certification was signed in my presence by the person whose name appears above on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_.

Witness:

Printed Name of Witness

| Departr   | W-9<br>Doctober 2018)<br>ment of the Treasury<br>Revenue Service<br>1 Name (as shown  | Request for<br>Identification Number<br>Go to www.irs.gov/FormW9 for inst<br>n on your income tax return). Name is required on this line; do   | er and Certifi<br>tructions and the late                   |   |                             | Give F<br>reque<br>send | ster.            | Do not                  |  |  |
|---|---|--|--|---|-----------------------------|-------------------------|------------------|-------------------------|--|--|
|   | 2 Business name/  | disregarded entity name, if different from above   |  |   |                             |                         |                  |                         |  |  |
| on page 3.                                      | S       Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.       4 Exem certain e instruction         S       Individual/sole proprietor or       C Corporation       S Corporation       Partnership       Trust/estate  |  |  |   |                             |                         |                  |                         |  |  |
| be.   | single-memb   |  |  |   | Exemptp                     | payee code (if any)     |                  |                         |  |  |
| Print or type.<br>Specific Instructions on page | Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶         Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check         LC if the LLC is classified as a single-member LLC that is disregarded from the owner of the LLC is is disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner.         Image: LC is classified as a single-member LLC that is disregarded from the owner of the LLC is is disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner. |  |  |   |                             |                         |                  | on from FATCA reporting |  |  |
| bec   | Other (see in:  | structions) ►<br>ar, street, and apt. or suite no.) See instructions.  |  | Requester's name                        |                             | counts maintai          |                  | de the U.S.)            |  |  |
| See S   |   | s, street, and apt. of suite no., dee instructions.  |  | nequester s name                        |                             | s (optional)            |                  |                         |  |  |
| 0<br>U  | 6 City, state, and 2  | ZIP code   |  |   |                             |                         |                  |                         |  |  |
|   | 7 List account nun  | nber(s) here (optional)  |  |   |                             |                         |                  |                         |  |  |
| Par   | ti Taxpa  | yer Identification Number (TIN)  |  |   |                             |                         |                  |                         |  |  |
|   |   | propriate box. The TIN provided must match the name  |  |   | curity num                  | ber                     |                  |                         |  |  |
| reside<br>entitie                               | nt alien, sole prop<br>s, it is your emplo  | r individuals, this is generally your social security nurr<br>prietor, or disregarded entity, see the instructions for I<br>pyer identification number (EIN). If you do not have a r   | Part I, later. For other                                   |   | -                           | -                       |                  |                         |  |  |
| TIN, la   |   |  | A1 147 1 17  | or                                      | r identification number     |                         |                  |                         |  |  |
|   |   | n more than one name, see the instructions for line 1.<br><i>quester</i> for guidelines on whose number to enter.  | . Also see what Name                                       |   | -                           |                         |                  | T                       |  |  |
| Par   | t II Certifi  | cation   |  |   |                             |                         |                  |                         |  |  |
|   | penalties of perju  | 50 Acc 12  |  |   |                             |                         |                  |                         |  |  |
| 2. I an<br>Ser                                  | n not subject to b<br>vice (IRS) that I ar  | In this form is my correct taxpayer identification numb<br>ackup withholding because: (a) I am exempt from bac<br>m subject to backup withholding as a result of a failur<br>backup withholding; and   | kup withholding, or (b)                                    | I have not been r                       | notified by                 | the Interr              |                  |                         |  |  |
|   | (B) (C)   | other U.S. person (defined below); and   |  |   |                             |                         |                  |                         |  |  |
| 4. The  | FATCA code(s) e   | entered on this form (if any) indicating that I am exemp   | ot from FATCA reportin                                     | g is correct.                           |                             |                         |                  |                         |  |  |
| you ha<br>acquis                                | ave failed to report<br>sition or abandonm  | 15. You must cross out item 2 above if you have been no<br>all interest and dividends on your tax return. For real est<br>ent of secured property, cancellation of debt, contributi<br>ividends, you are not required to sign the certification, b | tate transactions, item 2<br>ons to an individual retir    | does not apply. Fe<br>ement arrangement | or mortgag<br>it (IRA), and | e interest<br>generally | paid,<br>/, payr | ments                   |  |  |
| Sign<br>Here                                    |   |  | I  | Date 🕨                                  |                             |                         |                  |                         |  |  |
| Gei   | neral Insti   | ructions   | • Form 1099-DIV (div<br>funds)                             | vidends, including                      | those fro                   | m stocks                | or mu            | itual                   |  |  |
| Section Noted                                   |   | to the Internal Revenue Code unless otherwise  | • Form 1099-MISC (   | various types of ir                     | ncome, pri                  | zes, awai               | ds, or           | gross                   |  |  |
| Futur   | e developments.   | For the latest information about developments dissinguished by a such as legislation enacted   | proceeds)<br>• Form 1099-B (stoc<br>transactions by brok   |   | sales and                   | certain ot              | her              |                         |  |  |
|   |   | ed, go to www.irs.gov/FormŴ9.  | Form 1099-S (proc  | (CONTRACTOR )                           | tate transa                 | actions)                |                  |                         |  |  |
| Pur   | pose of For   | m  | • Form 1099-K (mer   |   |                             |                         | ansac            | tions)                  |  |  |
| inform  | ation return with   | Form W-9 requester) who is required to file an the IRS must obtain your correct taxpayer   | • Form 1098 (home i<br>1098-T (tuition)                    |   | ), 1098-E (                 | student le              | ban in           | terest),                |  |  |
|   |   | IN) which may be your social security number<br>/er identification number (ITIN), adoption   | • Form 1099-C (cand  |   | mont of                     |                         |                  | ð                       |  |  |
| taxpa   | yer identification r  | number (ATIN), or employer identification number formation return the amount paid to you, or other   | <ul> <li>Form 1099-A (acqu<br/>Use Form W-9 onl</li> </ul> |   |                             | ••••••                  |                  |                         |  |  |
| amou  | nt reportable on a  | n information return. Examples of information  | alien), to provide you                                     |   | . porson (ii                | isidaling (             | . 10010          |                         |  |  |
|   | -   | not limited to, the following.<br>est earned or paid)  | If you do not retun<br>be subject to backup<br>later.      |   |                             |                         |                  |                         |  |  |
|   |   | Cat. No. 10231X  |  |   |                             | Form W                  | <b>-9</b> (Re    | v. 10-2018              |  |  |