

BID SUBMITTAL FORM
Alabama County Joint Bidding Program
BID ITEM – 100 HP HYDROSTATIC BULLDOZER – OPTION B

Company Name: THOMPSON TRACTOR COMPANY

Address: PO BOX 10367

BIRMINGHAM AL 35202-0367

Bid Submitted by: JAY SMITH
(Name of company representative)

Title: SALES OPERATIONS MGR E-mail address: jaysmith@thompsontractor.com

Phone: 205-849-2424 Fax: _____

By submitting this bid, we agree:

Initials

The equipment model number identified below meets the bid specs for this bid item.

JTS

That the bid price will be honored for all counties for the period from January 1, 2026 to June 30, 2026.

JAS

The equipment will be delivered at the bid price to all counties participating in the joint bid program

JAS

The company representative listed above will be the contact person for purchasing this bid item under the joint bid program

JAS

The bid is accompanied by a current catalog or model specification document for the model number identified below

JAS

The bid is accompanied by a copy of the manufacturer's standard warranty as required in the bid specifications

JTS

The bid includes the E-Verify documentation required by Alabama law

JAS

We comply with, and if awarded the contract, we will comply with, the requirements of Section 41-16-50 and Sections 41-16-160 to -166, Code of Alabama 1975.

JTS

If awarded the bid, a performance bond will be provided upon request

JAS

The bid documents include the **Manufacturer's Suggested Retail Price Sheet (MSRP) for the Standard Machine.**

JAS

100 HP HYDROSTATIC BULLDOZER – OPTION B

Total Bid Price for Standard Machine: \$ 198,967
(Total Bid Price for Standard Machine Includes Freight Preparation, Delivery and Standard Warranty Costs)*

Freight Preparation and Delivery: \$ 6,755
(Included in Standard Machine Bid Price)

Manufacturer's Suggested Retail Price for Standard Machine: \$ 257,415

Equipment Model #: CATERPILLAR D3

Description: TRACK TYPE TRACTOR

Signature of company representative submitting bid: _____
Joy Smith

Title: SALES OPERATIONS MANAGER

* NOTE: Award will be made based on the total cost of the **Standard Machine**. The total cost of the standard machine is to include freight preparation, delivery and standard warranty cost. Freight preparation and delivery will be excluded from the total bid price of the standard machine in determining the percentage discount for any available options.

BID SUBMITTAL FORM: OPTION COST SHEET 100 HP HYDROSTATIC BULLDOZER – OPTION B

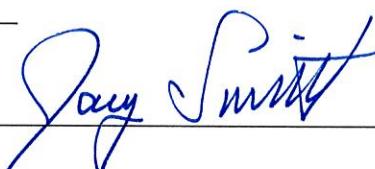
By submitting this bid, we agree:

To offer any available options at the percent difference between the Manufacturer's Suggested Retail Price Sheet and the actual bid price on the Standard Machine* LF

The bid documents include the Manufacturer's Suggested Retail Price Sheet (MSRP) with **any available Options** for the Standard Machine DAF

Equipment Model #: CATERPILLAR D3

Description: TRACK TYPE TRACTOR

Signature of company representative submitting bid: 

Title: SALES OPERATIONS MANAGER

***Note:** The percent difference between the Manufacturer's **Suggested Retail Price Sheet (MSRP)** for the standard machine as specified by these **Bid Specifications** and the actual price bid by the vendor will be calculated to determine the percentage discount to be applied to any available options. The bid price of the freight preparation and delivery cost shall be excluded in determining the percentage discount to be applied to available options. Any individual county may choose to add any available option to the standard machine at the percentage discount at the time of purchase.

D3 100 HP BULLDOZER B

D3 LGP	100 HP HYDROSTATIC BULLDOZER OPTION B	2026 PRICING
558-8528	D3 LGP TRACTOR, 30" TRACK	\$222,120
666-0753	CAT C3.6 ENGINE	\$12,120
550-6018	AIR CLEANER WITH PRECLEANER	\$680
397-4328	SALT UNDERCARRIAGE	\$0
286-4307	30" MS, SALT, LGP TRACK	\$0
558-8535	3 VALVE STANDARD HYDRAULICS	\$0
558-8560	PITCH CONTROL	\$0
633-7521	6 LED LIGHTS	\$0
558-8544	ROPS CANOPY W/ HEATER	\$0
552-3237	OPOPS TENSIONER CANOPY	\$0
559-5725	VINYL SEAT	\$0
558-8550	SOUND SUPPRESSION OMISSION	\$0
636-6486	CELLULAR PRODUCT LINK PLE743	\$0
590-8776	GRADE CONTROL W/ SLOPE ASSIST W/ REARVIEW CAMERA	\$9,690
550-6050	HEAVY DUTY RADIATOR GRILL	\$955
397-4288	REAR GUARD	\$2,265
557-1407	HEAVY DUTY 30" BELLY GUARD	\$1,150
590-0677	STANDARD FAN	\$0
552-3229	STADARD COOLER	\$0
244-7433	STANDARD DRAWBAR	\$0
244-7503	NO FRONT COUNTERWEIGHT	\$0
577-4297	BLADE, D3 LGP	\$7,290
421-8926	SERIALIZED TECHNICAL MEDIA KIT	\$0
558-8582	ANSI INSTRUCTIONS	\$0
282-3675	CENTER TRACK GUIDING GUARD, SALT	\$1,145
TOTAL BID PRICE FOR STANDARD MACHINE		\$198,967
FREIGHT PREPARATION AND DELIVERY		\$6,755
TOTAL MANUFACTURER'S SUGGESTED RETAIL PRICE FOR STANDARD MACHINE		\$257,415

BID SPECIFICATIONS FOR 100 HP HYDROSTATIC BULLDOZER – OPTION B

GENERAL

These specifications shall be construed as the minimum acceptable standards for a 100 hp hydrostatic low ground pressure bulldozer. Should the manufacturer's current published data or specifications exceed these standards, the manufacturer's standards shall be considered minimum and shall be furnished. All integral parts not specifically mentioned in the scope of these specifications that are necessary to provide a complete working unit shall be furnished. Additionally, the machine offered for bid shall include all standard manufacturer's equipment. The bulldozers must be a new current production model and shall meet all EPA and other applicable standards at the time of manufacture.

The use of specific names and numbers in the specifications is not intended to restrict the bidder or any seller or manufacturer, but is intended solely for the purpose of indicating the type, size and quality of equipment considered best adapted to the uses of counties participating in this joint bid.

BID SUBMITTAL FORM

Each bidder must submit his or her bid on the Bid Submittal Form included in the invitation to bid package. All written warranties to be submitted shall be attached to the Bid Submittal Form.

BID PRICE

The price bid shall include all destination charges, delivery charges, title fees, rebates, and all other applicable costs and refunds.

MANUALS

Each unit shall be provided with one (1) copy of the operator's manual and one (1) copy of the current parts manual. Units will not be accepted for delivery until the manuals as outlined above are received by the purchaser.

REPLACEMENT PARTS AVAILABILITY

Parts must be available for 5 years or 7,500 hours of use for the piece of equipment bid. If replacement parts are not delivered within three (3) working days of an order being placed, the bidder will deliver an equivalent machine for the county to use at no cost to the county until such time as the parts are delivered to the county so it can affect repairs to its machine.

WARRANTY

Bidders shall submit a copy of the manufacturer's standard warranty. Warranty shall include service response time of maximum of 36 hours within notification by county.

Yes No
Page#
or
Attachment

ENGINE

Shall be a turbocharged diesel engine with a displacement of not less than **220 cubic inches**, capable of developing a minimum of **100 net** flywheel horsepower (SAE J1349).

Yes No
Page # 1,13

Engine shall be manufactured by the equipment manufacturer.

Yes No
Page # 1,13

WEIGHT

Operating weight with blade, operator, full fuel tank, and ROPS canopy shall not be less than **20,900 lbs.**

Yes No
Page # 1,13

ELECTRICAL SYSTEM

Shall be equipped with a minimum **120-amp** alternator.

Yes No
Page # 1,14

TRANSMISSION

Shall be equipped with a hydrostatic type transmission.

Yes No
Page # 1,14

STEERING

All steering functions must be able to be performed using one hand only.

Yes No
Page # 1,14

UNDERCARRIAGE

The tractor shall be equipped with sealed and lubricated tracks. Minimum shoe width shall be **30 inches**. Track sag shall be hydraulically adjusted.

Yes No
Page # 1,13

Ground bearing pressure shall not exceed **3.95 lbs/sq. inch.**

Yes No
Page # 1,13

BLADE

The machine shall be equipped with a six-way (pat) blade with a minimum capacity of 2.7 cubic yards.

Yes No
Page # 1,13

VANDALISM PROTECTION

Machine shall be equipped with all standard and optional factory vandalism protection available for the machine bid.

Yes No
Page # 1,15

SAFETY EQUIPMENT

Gauges and indicators shall be provided to monitor critical (fuel level, coolant temperature, powertrain oil temperature, and hydraulic oil temperature) operational systems of the machine and alert the operator when potential problems occur.

Yes No
Page # 15

Rear view mirror; backup alarm.

Yes No
Page # 15

Heavy duty hinged radiator guard

Yes No
Page # 10, 11

Crankcase guard

Yes No
Page # 14

Track center guiding guard

Yes No
Page # 14

Pre-cleaner guard

under hood

Yes No
Page # 14

Front tow hooks

Yes No
Page # 14

Heavy duty rear drawbar

Yes No
Page # 14

Fire extinguisher

Yes No
Page # Vendor

Water separator

Yes No
Page # 14

D3

TRACK-TYPE TRACTOR



Net Power (2,200 rpm)

SAE J1349, ISO 9249, 80/1269/EEC 77.6 kW (104 hp)

Operating Weight

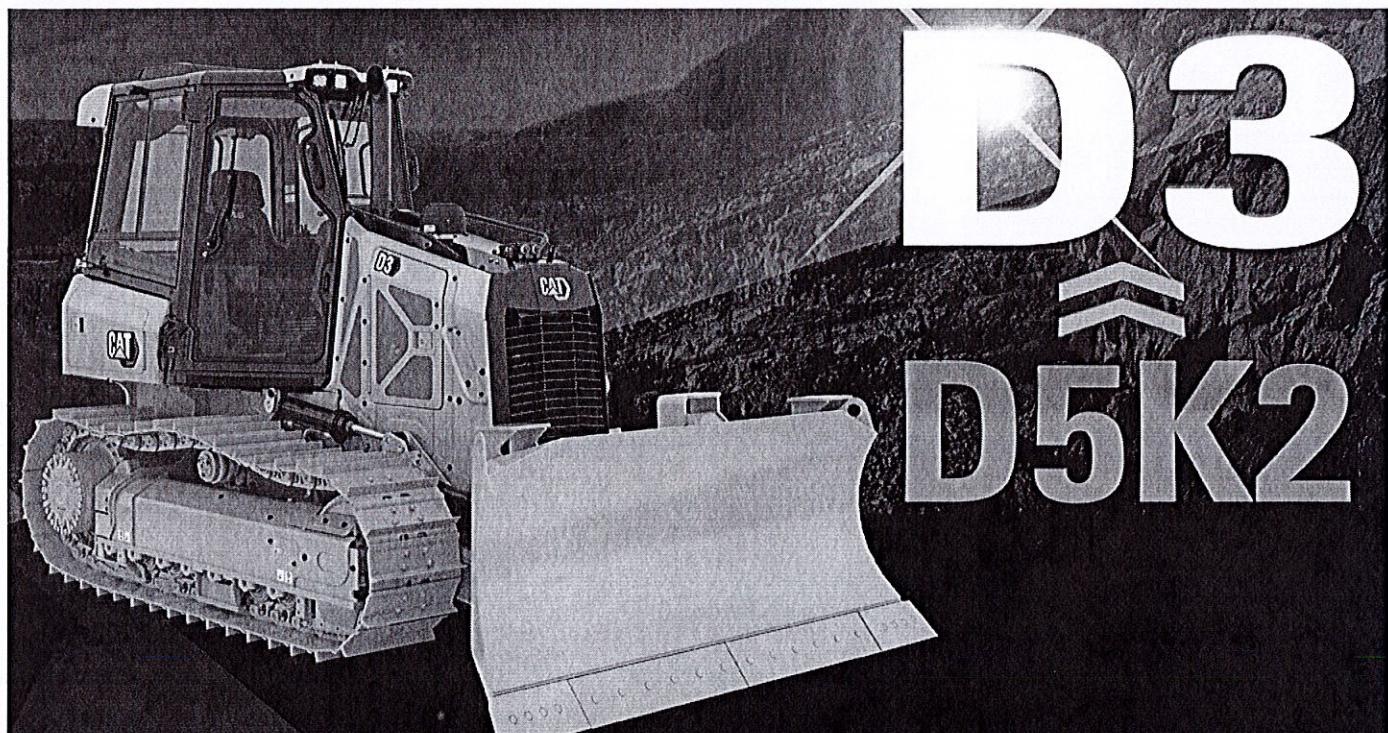
D3	9362 kg (20,640 lb)
D3 LGP	9693 kg (21,369 lb)

Cat® C3.6 Engine meets U.S. EPA Tier 4 Final/Japan 2014 (Tier 4 Final)/Korea Tier 4 Final/EU Stage V emission standards.

The new **Cat® D3** succeeds the renowned D5K2 with superior performance and the broadest choice of technology features to help you get the most from your dozer. Nimble and responsive, it has power for dozing and finesse for grading. Fully hydrostatic transmission gives you seamless acceleration, so you can get the job done quickly.

THE NEW **CAT® D3**

PERFORMANCE AND TECHNOLOGY



D3 77.6 kW/104 hp 9362 kg/20,640 lb

D5K2 77.6 kW/104 hp 9214 kg/20,313 lb

NEW NAMES, SAME WORLD-CLASS PERFORMANCE

The update from D5K2 to D3 is part of an effort to make all Cat dozer model names simpler. Over the next couple of years, the Cat dozer range will be renamed from smallest to largest – D3 to D11 – with one model per size class and no more letter modifiers like "N," "K," "T."

While the number may be different, the D3 gives you all the advantages of the D5K2 and so much more. The D3 delivers more technology, better visibility and more performance, helping you make the most of your equipment investment.

SETTING THE STANDARD

FROM FIRST PASS TO FINISH GRADE



IMPROVED VISIBILITY

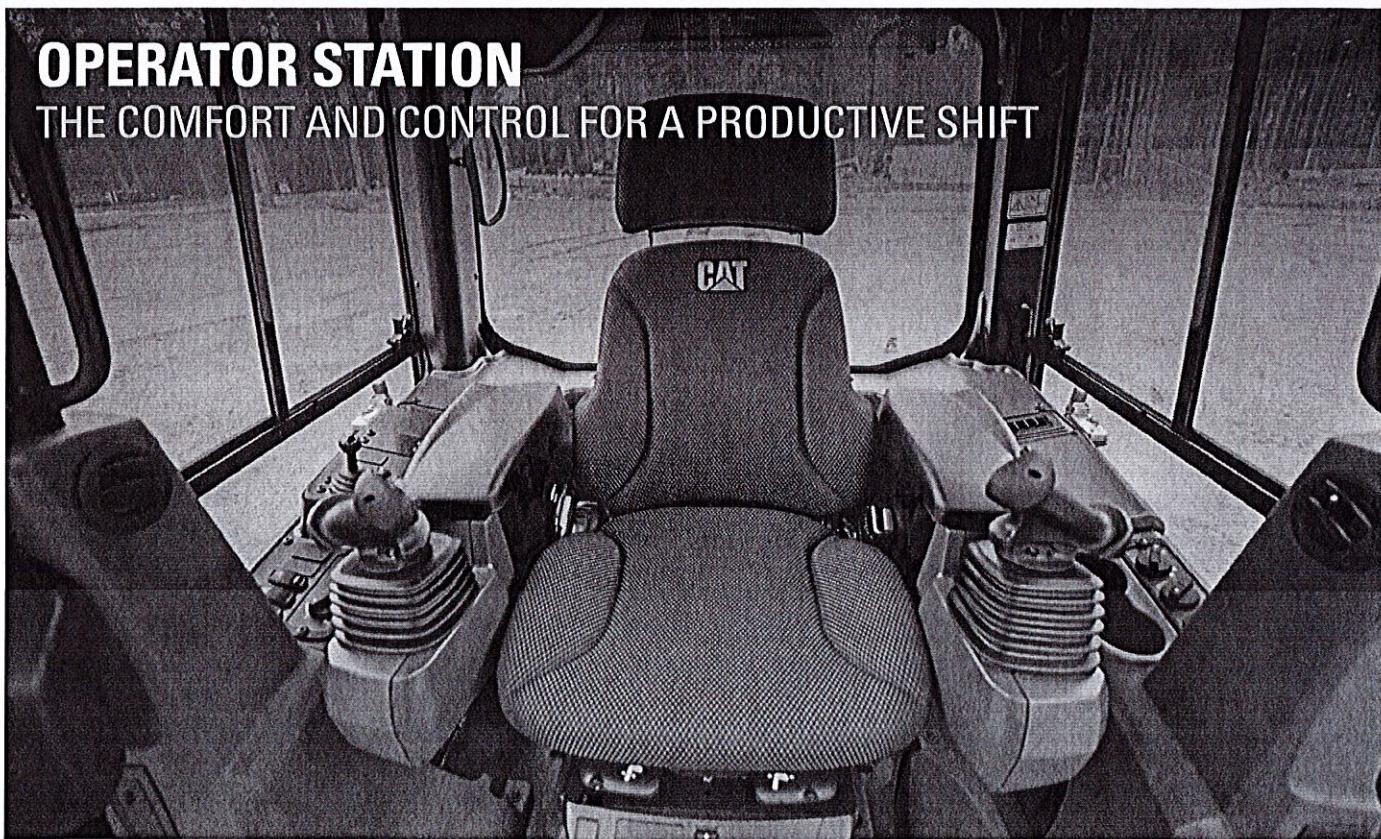
The angle of the hood was increased to enhance the peripheral vision for the operator. This new sloped hood allows for better assessment of the work in front of the blade and job site awareness. Better visibility can equal less operator fatigue and more confidence in your work.

GRADE TECHNOLOGY

GRADE with 3D and Slope Assist™ help achieve the best quality grading results, while new technologies make machine operation easier and more efficient.

UP TO 10% IMPROVED FUEL ECONOMY

- + Lowers operating costs to the customer
- + Lowest fuel consumption in this size class
- + Still same great performance you've come to expect
- + Up to 20% improvement and no performance sacrifice with ECO mode active
- + Engine efficiency enhancements



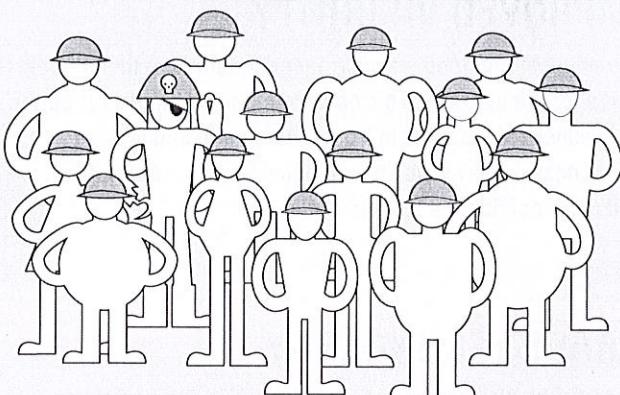
EASY OPERATION

The hydrostatic transmission provides infinite speed control – just choose the speed and go. The load sensing system automatically optimizes ground speed based on load, for the greatest productivity and fuel efficiency.

WORK SPACE

Regardless of operator stature, step into a spacious and comfortable cab that resets the industry standard. An optional heated and ventilated seat, along with heated joystick controls make the operator comfortable no matter what the conditions are outside. The seat height adjustment is now easier to access, and armrests can be quickly adjusted to operator's preference – no tools required!

**A CAB EVERY BODY
CAN LOVE**



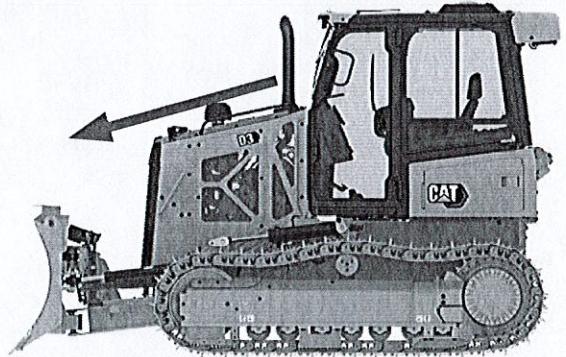
6 Seat Adjustments





UNBEATABLE VIEW

The best seat in the house just got an upgrade by way of improved visibility. The new, redesigned, sloped hood line allows the operator a wider peripheral view for better job site awareness and ease of operation.



BIGGER, BETTER DISPLAY WITH CAMERA

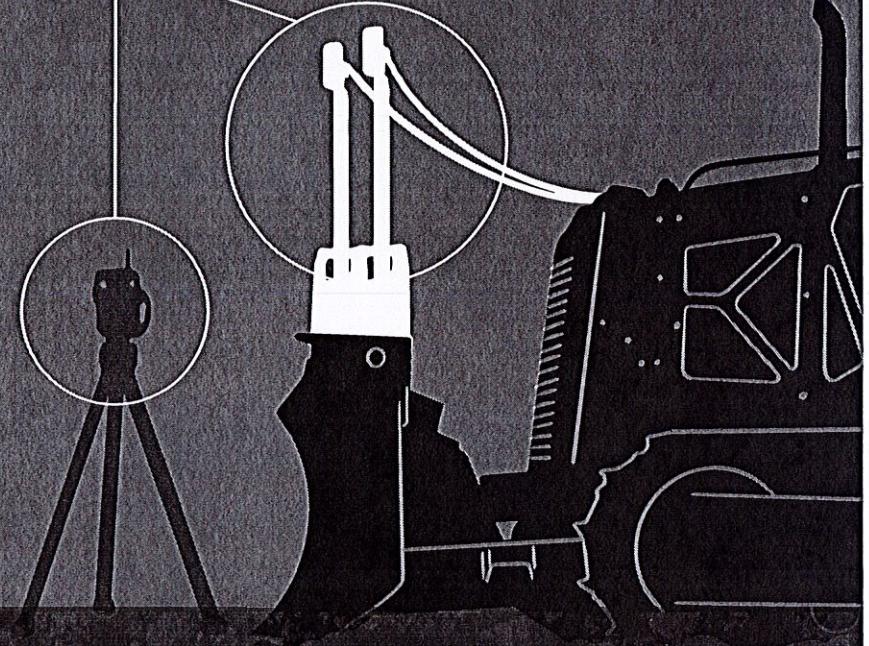
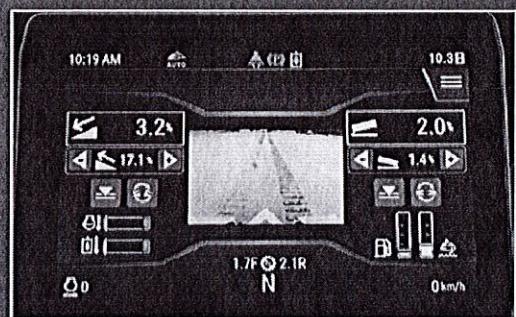
This new and improved optional 10 inch (254 mm) touchscreen, color display is easy to use, with a great view of machine settings, rearview camera and Slope Indicate/Slope Assist screens. The large reversing camera gives the operator better visibility to the job site as well as less fatigue at the end of the day.

Additionally, the Key Features button gives the operator an overview of machine features, along with some helpful operating tips.

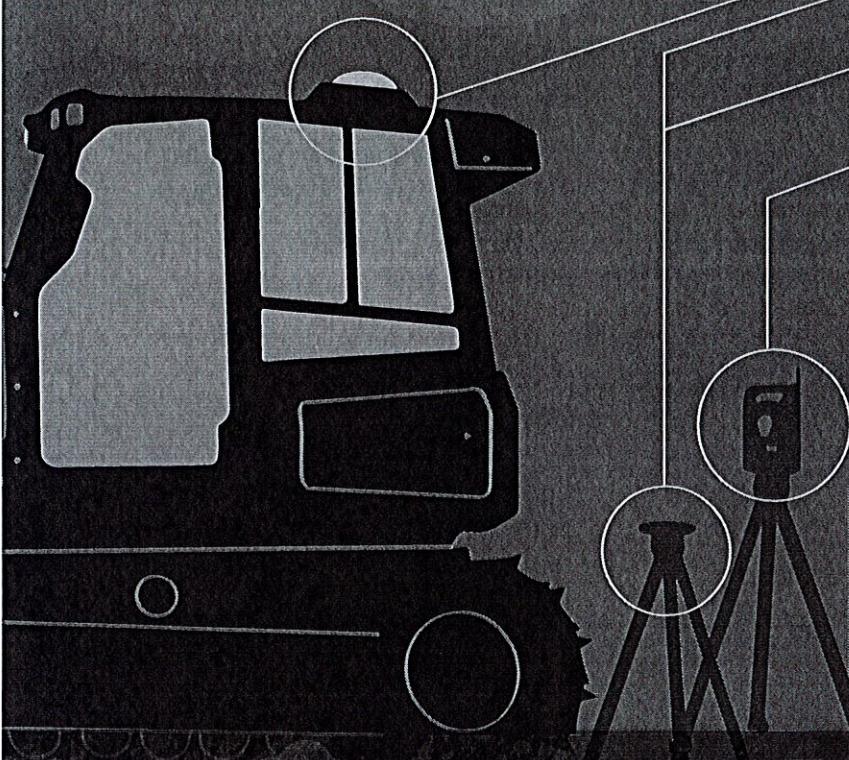
CAT® GRADE

2D

- Cat Grade with Slope Assist
- Cat Grade with Laser

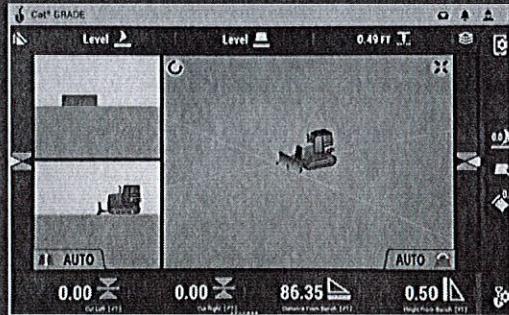


SCALABLE SOLUTIONS



3D

- Integrated GNSS
- Blade-mounted GNSS
- Blade-mounted Universal Total Station



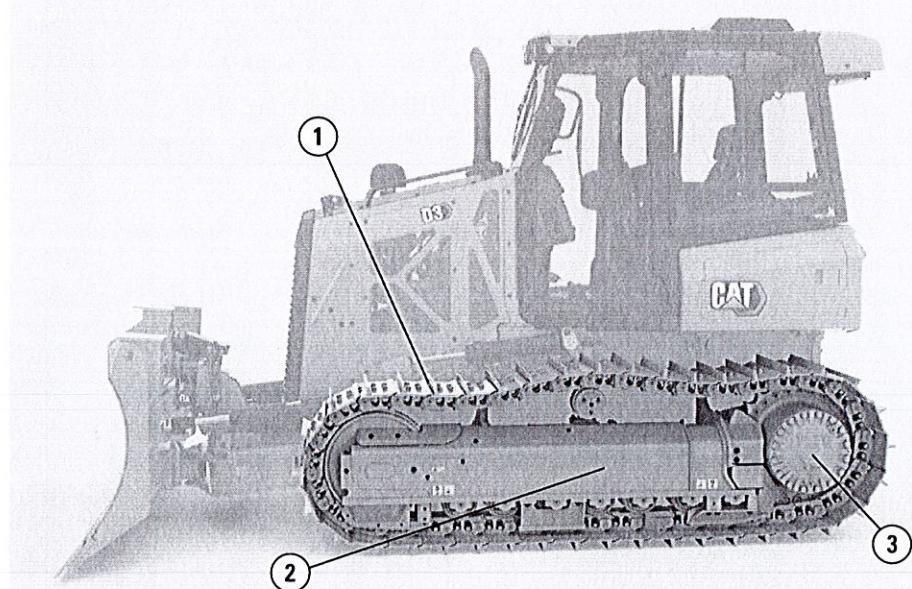
VALUE TO YOU

- Optional integrated, 10-inch (254 mm) color touch screen display
- Stable Blade and Slope Indicate are standard
- Capability to scale up technology, and the flexibility to adapt different technology based on job site demands
- Partnership and support from your Cat or SITECH® dealer

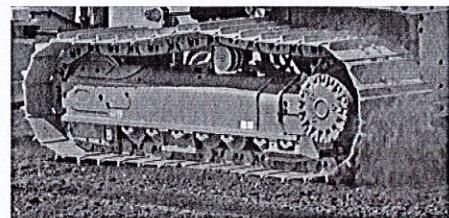
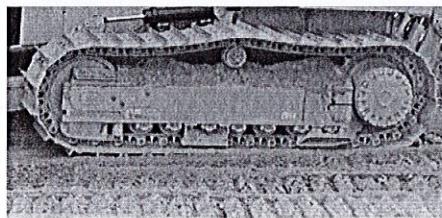
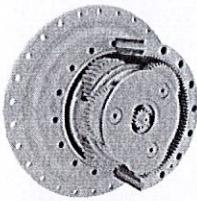
DURABILITY

UNMATCHED POWER WITHOUT COMPROMISING MACHINE LONGEVITY

The Small Dozer final drive provides a large, double reduction planetary gear set that allows the machine to push heavy loads. The undercarriage has a longer life, less down time and is standard on all Cat Dozers. A one piece mainframe/track roller frame design gives the machine the strength to carry pushing loads.



1. Two Track offerings: Sealed and Lubricated Track (SALT)/Abrasion
2. Track Roller Frame
3. Final Drive



FINAL DRIVE

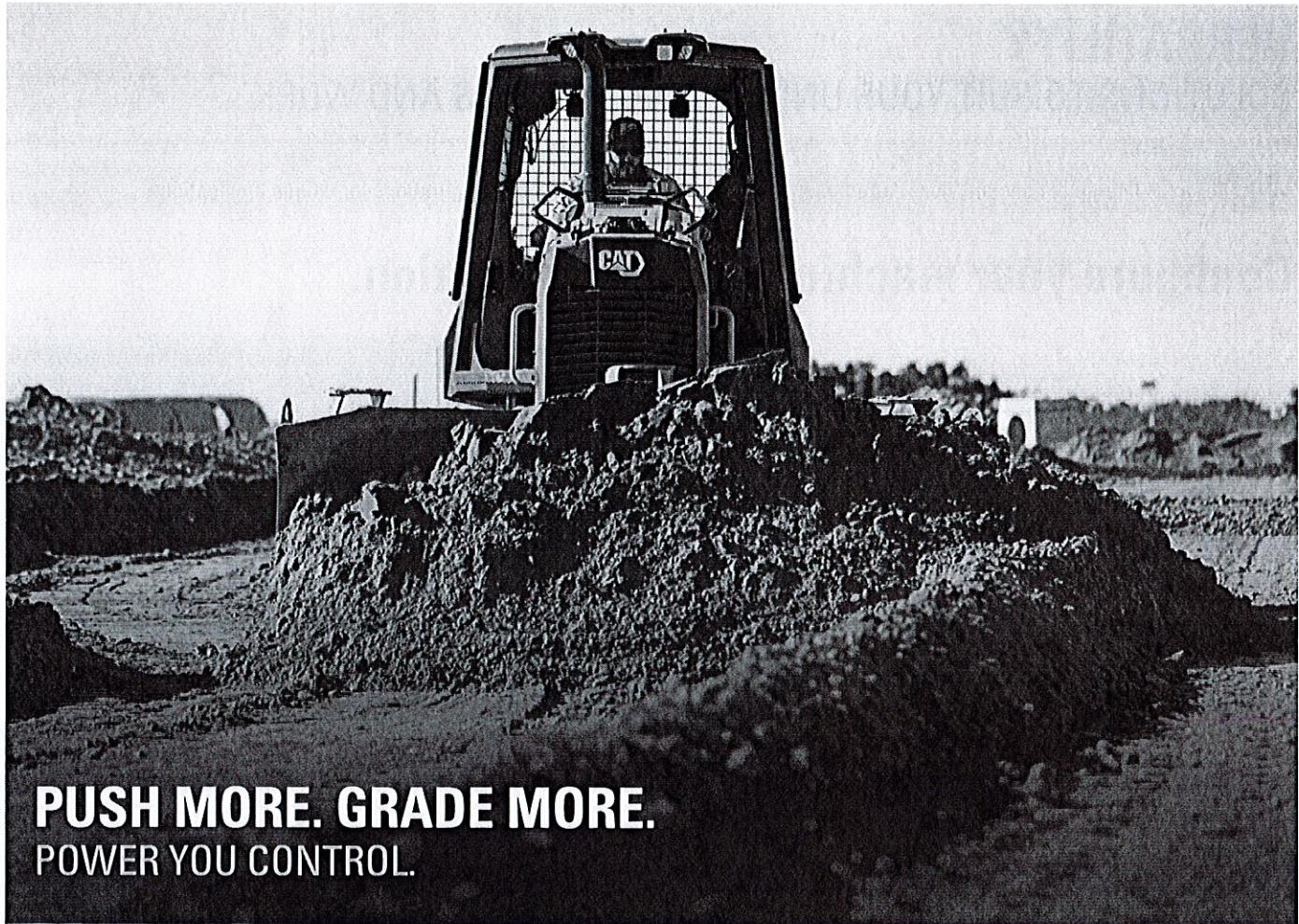
- + Large planetary gear set
- + Heavy pushing load spread over multiple gears
- + Longer life and less down time
- + Standard on all Cat dozers

SALT

- + Cost effective
- + Eliminates internal pin and bushing wear
- + Long life
- + Universal applications

ABRASION

- + Longer undercarriage wear life
- + No link assembly maintenance
- + No downtime for bushing turns
- + Less cost per hour by using full link life without a bushing turn
- + For high-abrasion applications



**PUSH MORE. GRADE MORE.
POWER YOU CONTROL.**

AUTOMATIC TRACTION CONTROL

Reduces track slippage with no operator interaction resulting in less ground disturbance and less passes.

POWER TURN

Power to each track allows the dozer to carry heavy loads around turns.

COUNTER-ROTATION

Quick and easy spot turns, along with the ability to control dozer direction during heavy side loads.

SMOOTH CONTROLS

Controls with low operator effort provides predictable maneuverability.

VERSATILITY

SOLUTIONS TO SUIT YOUR UNIQUE APPLICATIONS AND WORK

We offer a full line-up of specialty dozer attachments and purpose built machine configurations for unique applications.

Configure your machine to the application.

FORESTRY

- + Sweeps
- + Screens
- + Hinged radiator grill
- + External Precleaner

PLUS

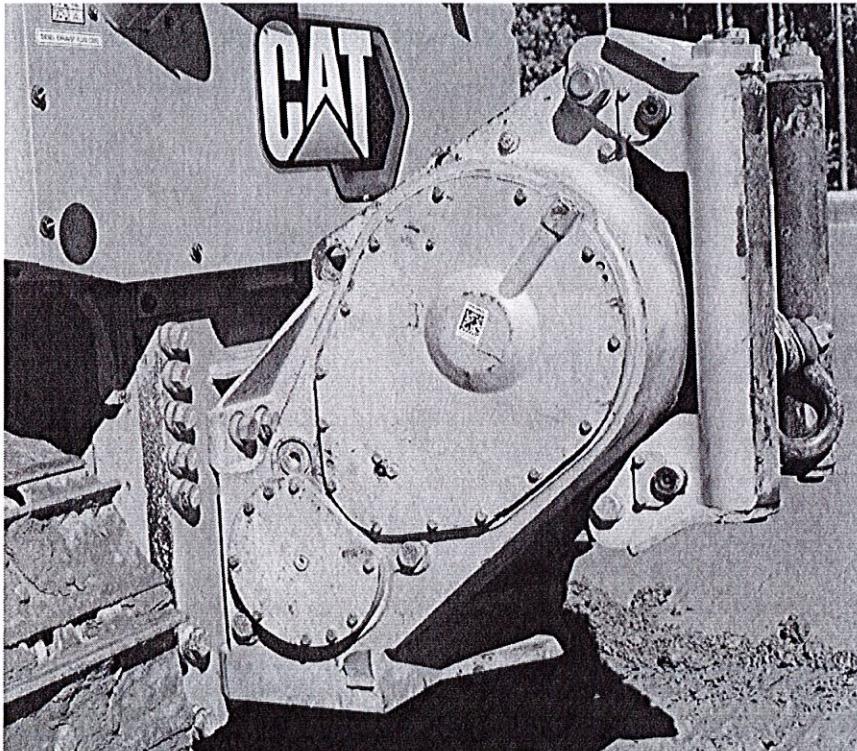
- + Fire plow – fire suppression
- + Ship hold – bulk material handling operations

WINCH

- + Retrieval – great performance, easy to install
- + High performance – maximum line speed and pull

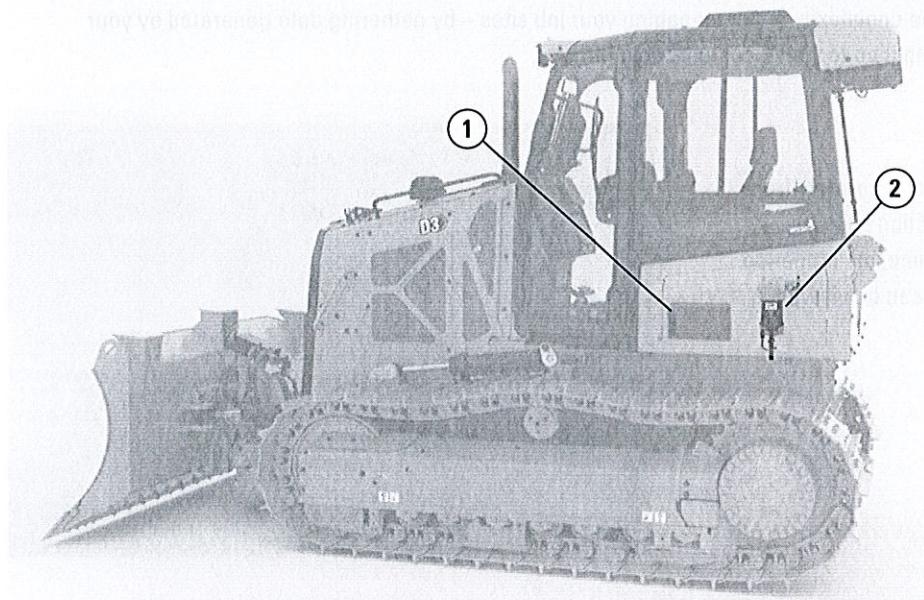
RIPPER

- + Parallelogram linkage
- + 3 shank



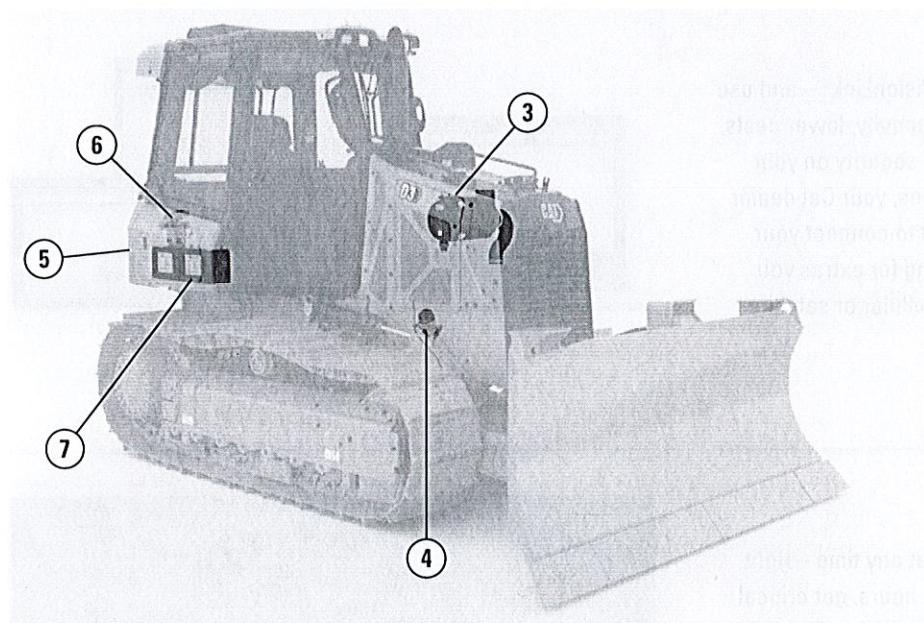
MAINTENANCE

MAKING UPKEEP MANAGEABLE



The Next Generation Small

Dozers are easier than ever to service with ground level access to daily maintenance areas. Additionally, there are new options available to further simplify service with the turbine-type precleaner, reversing fan, and hinged radiator grill.



1. Cab air filter
2. Fuel filter
3. Engine air filter
4. Engine oil filter
5. Master disconnect switch
6. Hydraulic oil filter
7. Batteries

CAT DEALER SUPPORT

The Cat Dealer support network is truly the best in the business. They are there to support customers with the parts and service that optimize machine performance and life.

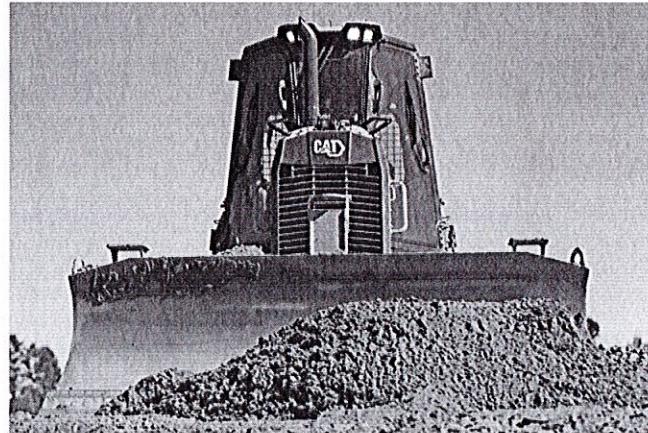
CAT LINK TECHNOLOGY

TAKES THE GUESSWORK OUT OF MANAGING YOUR EQUIPMENT

CAT LINK telematics technology helps take the complexity out of managing your job sites – by gathering data generated by your equipment, materials, and people – and serving it up to you in customizable formats.

CAT PRODUCT LINK™

Product Link™ collects data automatically and accurately from your assets – any type and any brand. Information such as location, hours, fuel usage, productivity, idle time, maintenance alerts, diagnostic codes, and machine health can be viewed online through web and mobile applications.



VISIONLINK®

Access information anytime, anywhere with VisionLink® – and use it to make informed decisions that boost productivity, lower costs, simplify maintenance, and improve safety and security on your job site. With different subscription level options, your Cat dealer can help you configure exactly what you need to connect your fleet and manage your business, without paying for extras you don't want. Subscriptions are available with cellular or satellite reporting or both.



REMOTE SERVICES

The Cat App helps you manage your assets – at any time – right from your smart device. See fleet location and hours, get critical required maintenance alerts, and even request service from your local Cat dealer.

- + **Remote Flash** – get software updates to one or more machines remotely. You just need a designated person close to the machine to initiate/confirm the update.
- + **Remote Grade** lets you wirelessly manage site plans via Trimble Connect Services.
- + **Operator ID** lets you track machine operation by individual operator using the main display and Product Link.



TECHNICAL SPECIFICATIONS

See cat.com for complete specifications.

ENGINE	
Engine Model	Cat C3.6
Emissions	U.S. EPA Tier 4 Final, Japan 2014 (Tier 4 Final), Korea Tier 4 Final, EU Stage V
Build Number	12A
Power Train	Hydrostatic
Net Power* – 2,200 rpm	
SAE J1349	77.6 kW 104 hp
ISO 9249, 80/1269/EEC	77.6 kW 104 hp
Displacement	3.6 L 220 in ³
*Net power is tested per ISO 9249:2007 and SAE J1349:2011 and as advertised is the power available at the flywheel when the engine is equipped with fan, air intake system, exhaust system and alternator.	
All non-road Tier 4 Final, Stage V, Japan 2014 (Tier 4 Final) and Korea Tier 4 Final diesel engines are required to use only Ultra Low Sulfur Diesel (ULSD) fuels containing 15 ppm EPA/10 ppm EU (mg/kg) sulfur or less. Biodiesel blends up to B20 (20% blend by volume) are acceptable when blended with 15 ppm (mg/kg) sulfur or less ULSD. B20 should meet ASTM D7467 specification (biodiesel blend stock should meet Cat biodiesel spec, ASTM D6751 or EN 14214). Cat DEO-ULS™ or oils that meet the Cat ECF-3, API CJ-4, and ACEA E9 specification are required. Consult your OMM for further machine specific fuel recommendations.	
Diesel Exhaust Fluid (DEF) used in Cat Selective Catalytic Reduction (SCR) systems must meet the requirements outlined in the International Organization for Standardization (ISO) standard 22241.	
The air conditioning system on this machine contains the fluorinated greenhouse gas refrigerant R134a (Global Warming Potential = 1430). The system contains 1.8 kg of refrigerant which has a CO ₂ equivalent of 2.574 metric tonnes.	

SERVICE REFILL CAPACITIES			
Fuel Tank	195 L	51.5 gal	
Crankcase and Filter	10.5 L	2.8 gal	
Final Drives (each side)	10 L	2.6 gal	
Final Drives, LGP (each side)	10 L	2.6 gal	
Cooling System	19 L	5.0 gal	
Transmission/Hydraulic Tank	90 L	23.8 gal	
Diesel Exhaust Fluid (DEF) Tank	19 L	4.9 gal	

	OPERATING WEIGHT	GROUND PRESSURE
Standard	9362 kg 20,640 lb	38.9 kPa 5.7 psi
LGP	9693 kg 21,369 lb	31.2 kPa 4.5 psi
LGP (762 mm/30 in)	9893 kg 21,810 lb	27.2 kPa 3.9 psi
For machine with ripper, add 554 kg/1,222 lb.		
Specifications shown are for machine equipped with dozer blade, EROPS cab, back up alarm, operator, coolant, lubricants and full fuel tank.		

BLADES	CAPACITY	WIDTH
Standard	2.19 m ³ 2.86 yd ³	2782 mm 109.5 in
Intermediate	2.09 m ³ 2.73 yd ³	2921 mm 115 in
LGP	2.34 m ³ 3.06 yd ³	3220 mm 126.7 in

DIMENSIONS*	STANDARD	LGP	LGP (762 MM/30 IN)
Track Gauge	1600 mm (63.0 in)	1750 mm (67.9 in)	1860 mm (73 in)
Width of Tractor (standard shoe)	2110 mm (83 in)	2360 mm (92.9 in)	2630 mm (103.5 in)
Tractor Height	2769 mm (109 in)	2769 mm (109 in)	2769 mm (109 in)
Overall Length (with blade)	4337 mm (170.7 in)	4309 mm (169.6 in)	4309 mm (169.6 in)
Length of Basic Tractor (without blade)	3270 mm (128.7 in)	3270 mm (128.7 in)	3270 mm (128.7 in)
Ground Clearance	332 mm (13 in)	332 mm (13 in)	332 mm (13 in)
With the Following Attachments, Add to Basic Tractor Length:			
Ripper	1177 mm (46.3 in)	1177 mm (46.3 in)	1177 mm (46.3 in)
*Abrasion Undercarriage			

Configurations and features may vary by region. Please consult your Cat dealer for availability in your area.

STANDARD & OPTIONAL EQUIPMENT

Standard and optional equipment may vary. Consult your Cat dealer for details.

POWER TRAIN	STANDARD	OPTIONAL
Air cleaner with precleaner, automatic dust ejection and underhood intake	•	
Air cleaner with external precleaner		•
Aluminum bar plate cooling system (radiator, power train)	•	
Automatic traction control	•	
Cat C3.6 diesel engine	•	
Drive, auxiliary	•	
Dual path, closed loop, hydrostatic transmission	•	
Electric fuel pump	•	
Fuel/water separator	•	
Installation, winch		•
Turbocharged aftercooled	•	
ELECTRICAL	STANDARD	OPTIONAL
Alarm, backup	•	
Alternator, 150 amp, heavy duty	•	
Batteries, heavy duty, maintenance free, 1,000 CCA	•	
Diagnostic connector	•	
Four integrated front halogen lights, two rear halogen	•	
Four integrated front LED lights, two rear LED		•
Horn, electric	•	
Starter, electric, 12 V, heavy duty	•	
UNDERCARRIAGE	STANDARD	OPTIONAL
SALT undercarriage (40 section)	•	
Abrasion undercarriage (36 section)		•
Track Pairs		
Track, 510 mm (20 in)		•
Track, 660 mm (26 in)		•
Track, 762 mm (30 in)		•
Carrier rollers	•	
Guards, front/rear guiding	•	
Seven roller track frame	•	
Track adjusters, hydraulic	•	
Track rollers, lifetime lubricated	•	

HYDRAULIC	STANDARD	OPTIONAL
Hydraulic pump and oil	•	
Four valve for use with ripper		•
Four valve for use with winch		•
Single lever, three function control	•	
Three valve hydraulics	•	
CAT TECHNOLOGY	STANDARD	OPTIONAL
Cat GRADE with Slope Assist		•
Cat GRADE with 3D		•
Cat Product Link PLE643/PLE743 Cellular	•	
Cat Product Link PLE683/PLE783 Cellular/Satellite		•
Full-color 10 in (254 mm) touchscreen grade display		•
Power Pitch		•
Slope Indicate	•	
Stable blade	•	
ATTACHMENTS	STANDARD	OPTIONAL
Rigid drawbar	•	
Drawbar, towing		•
Front pull device	•	
Machine security system		•
Mounting, winch		•
Ripper, parallelogram, includes three shanks and teeth		•
Winch, hydraulic, high performance		•
Winch, hydraulic, retrieval		•
OTHER	STANDARD	OPTIONAL
Heater, engine, coolant 120 V/240 V		•
Starting aid, ether		•

Not all features are available in all regions. Please check with your local Cat dealer for specific offering availability in your area.

For additional information, refer to the Technical Specifications brochure available at www.cat.com or your Cat dealer.

STANDARD & OPTIONAL EQUIPMENT

Standard and optional equipment may vary. Consult your Cat dealer for details.

OPERATOR ENVIRONMENT	STANDARD	OPTIONAL
Armrests, adjustable	•	
Cab, with air conditioning		•
Cab, polycarbonate windows and air conditioning		•
Coat hook	•	
Controls, seat mounted, fore/aft adjustment	•	
Cup holders	•	
Eco Mode	•	
Electronic Monitoring system with gauge: – Chassis slope indication – Diesel exhaust fluid (DEF) – Engine coolant temperature – Fuel level – Hydraulic oil temperature		
Electronic security system	•	
Engine air cleaner service indicator	•	
Engine RPM and gear display	•	
Floor mat, rubber, heavy duty	•	
Foot pads, dash	•	
Hour meter, electronic	•	
Independent forward/reverse speed settings	•	
Mirror, rearview, inside	•	
Power port, 12 volt	•	
Radio, AM/FM Bluetooth®		•
ROPS/FOPS canopy	•	
Seat, air suspended, cloth or vinyl	•	
Seat, air suspension, choice of: – Vinyl, heated seat with heated controls – Cloth, heated seat with heated controls – Cloth, heated and ventilated seat with heated controls		•
Seatbelt, hi-vis retractable 76 mm (3")	•	
Seatbelt buckling indicator/reminder	•	
Single pedal combining decel and brake functions	•	
Sound suppression		•
Speed recall	•	
Storage compartment	•	
Throttle switch, rotary	•	
Travel speed limiter, electronic	•	

Not all features are available in all regions. Please check with your local Cat dealer for specific offering availability in your area.

For additional information, refer to the Technical Specifications brochure available at www.cat.com or your Cat dealer.

For more complete information on Cat products, dealer services and industry solutions, visit us on the web at www.cat.com

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www.cat.com www.caterpillar.com

AEHQ8309
Build Number: 12A
(N Am, EU, Aus-NZ, Japan)





Cat® D3

TRACK-TYPE TRACTOR

FEATURES:

The new Cat® D3 delivers superior performance and the broadest choice of technology features to help you get the most from your dozer. Nimble and responsive, it has power for dozing and finesse for grading. Fully hydrostatic transmission gives you seamless acceleration, so you can get the job done quickly. The load sensing system automatically optimizes ground speed based on load, for the greatest productivity and fuel efficiency.

IMPROVED VISIBILITY

- New sloped hood provides better visibility to the work in front of the blade as well as the overall job site. Better visibility can equal less operator fatigue and more confidence in your work.

GRADE TECHNOLOGY

- Scalable 2D and 3D Cat Grade provide technologies like Slope Assist, Stable Blade, and Slope Indicate for easier and more efficient operation, as well as the flexibility to adapt different technology based on job site demands.

UP TO 10% IMPROVED FUEL ECONOMY

- The D3 features the lowest fuel consumption in this size class with the same great performance you've come to expect. Up to 20% improvement and no performance sacrifice when ECO mode is active.

Specifications

Engine

Engine Model	Cat® C3.6	
Net Power @ 2,200 rpm	77.6 kW	104 hp
SAE J1349	77.6 kW	104 hp
ISO 9249, 80/1269/EEC		
Power Train	Hydrostatic	
Displacement	3.6 L	220 in³

- Net power is tested per ISO 9249:2007 and SAE J1349:2011 and as advertised is the power available at the flywheel when the engine is equipped with fan, air intake system, exhaust system and alternator.
- All non-road U.S. EPA Tier 4 Final, EU Stage V, Japan 2014 (Tier 4 Final) and Korea Tier 4 Final diesel engines are required to use only Ultra Low Sulfur Diesel (ULSD) fuels containing 15 ppm EPA/10 ppm EU (mg/kg) sulfur or less.

COMFORTABLE WORK SPACE

- The spacious and comfortable cab features an optional heated and ventilated seat, along with heated joystick controls to make the operator comfortable no matter what the conditions are outside. The seat height and armrests can be easily adjusted to the operator's preference.

BIGGER, BETTER DISPLAY WITH CAMERA

- This new and improved optional 10 in (254 mm) touchscreen, color display is easy to use, with a great view of machine settings, rearview camera and Slope Indicate/Slope Assist screens. The large reversing camera gives the operator better visibility to the job site.

DURABLE UNDERCARRIAGE

- The D3 is available with either a Sealed and Lubricated Track (SALT) or Abrasion undercarriage to best suit your application. The undercarriage has a longer life resulting in less down time. A one piece mainframe/track roller frame design and the large, double reduction planetary final drive allow the machine to push heavy loads.

Weights

Operating Weight	9362 kg	20,640 lb
Operating Weight – LGP	9693 kg	21,369 lb
Operating Weight – LGP, 762 mm/30 in	9893 kg	21,810 lb

- Specifications shown are for machine equipped with dozer blade, EROPS cab, back-up alarm, operator, coolant, lubricants and full fuel tank.
- For machine with ripper, add 554 kg (1,222 lb).

Cab

ROPS	ISO 3471:2008
FOPS	ISO 3449:2005 Level II

Transmission

Drive Pumps	1	
Track Motors	2	
Relief Valve Settings	48 500 kPa	7,033 psi
Maximum Travel Speed – Forward	9 km/h	5.6 mph
Maximum Travel Speed – Reverse	10 km/h	6.2 mph



D3 Track-Type Tractor

Undercarriage

Number of Rollers (each side)	7	
Number of Shoes (each side) – Sealed and Lubricated Track (SALT) Undercarriage	40	
Number of Shoes (each side) – Abrasion Undercarriage	36	
Shoe Width	510 mm	20 in
Shoe Width – LGP	660 mm	26 in
Shoe Width – LGP, 762 mm (30 in)	762 mm	30 in
Length of Track on Ground	2310 mm	91 in
Length of Track on Ground – LGP	2310 mm	91 in
Length of Track on Ground – LGP, 762 mm (30 in)	2310 mm	91 in
Track Gauge	1600 mm	63 in
Track Gauge – LGP	1750 mm	69 in
Track Gauge – LGP, 762 mm (30 in)	1860 mm	73 in
Ground Pressure	38.9 kPa	5.7 psi
Ground Pressure – LGP	31.2 kPa	4.5 psi
Ground Pressure – LGP, 762 mm (30 in)	27.2 kPa	3.9 psi

Service Refill Capacities

Fuel Tank	195 L	51.5 gal
Crankcase and Filter	10.5 L	2.8 gal
Final Drives, (each side)	10 L	2.6 gal
Final Drives, LGP (each side)	10 L	2.6 gal
Cooling System	19 L	5.0 gal
Transmission/Hydraulic Tank	90 L	23.8 gal
Diesel Exhaust Fluid (DEF) Tank	19 L	4.9 gal

Hydraulic Controls

Pump Output	65 L/min	17.2 gal/min
Relief Valve Settings	20 600 kPa	2,988 psi

Ripper

Type	Parallelogram	
Number of Shanks	3	
Maximum Digging Depth	337.5 mm	13.3 in
Maximum Reach at Ground Line	766 mm	30.2 in
Maximum Ground Clearance Under Tip	448 mm	17.6 in
Overall Width	1710 mm	67.3 in
Height	165 mm	6.5 in
Weight	554 kg	1,222 lb

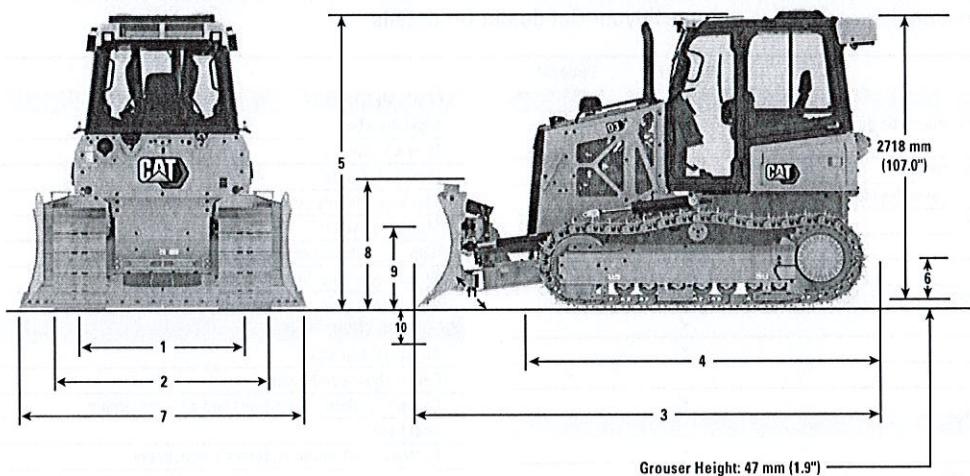
Winch

Weight	610 kg	1,345 lb
Winch Drive	Hydrostatic	
Control	Hydraulic	
Speed	Variable	
Winch Length	705 mm	27.76 in
Overall Width	741 mm	29.2 in
Drum Diameter	254 mm	10 in
Drum Width	274 mm	10.8 in
Throat Clearance	171.5 mm	6.75 in
Rope Diameter – recommended	16 mm	0.63 in
Rope Diameter – optional	19 mm	0.75 in
Drum Capacity – recommended cable	113 m	371 ft
Drum Capacity – optional cable	78 m	256 ft
Maximum Line Pull – bare drum	18 144 kg	40,000 lb
Maximum Line Pull – full drum	11 340 kg	25,000 lb
Maximum Line Speed – bare drum	40 m/min	131 ft/min
Maximum Line Speed – full drum	63 m/min	207 ft/min

Air Conditioning System

The air conditioning system on this machine contains the fluorinated greenhouse gas refrigerant R134a (Global Warming Potential = 1430). The system contains 1.8 kg of refrigerant which has a CO₂ equivalent of 2.574 metric tonnes.

D3 Track-Type Tractor



Dimensions*

	Standard		LGP		LGP, 762 mm (30 in)	
1 Track Gauge	1600 mm	63 in	1750 mm	67.9 in	1860 mm	73 in
2 Width of Tractor (standard shoes, no blade)	2110 mm	83 in	2360 mm	92.9 in	2630 mm	103.5 in
3 Overall Length (with blade)	4337 mm	170.7 in	4309 mm	169.6 in	4309 mm	169.6 in
4 Length of Basic Tractor (without blade)	3270 mm	128.7 in	3270 mm	128.7 in	3270 mm	128.7 in
5 Tractor Height	2769 mm	109 in	2769 mm	109 in	2769 mm	109 in
6 Ground Clearance	332 mm	13 in	332 mm	13 in	332 mm	13 in
With Ripper Attachment (add to Length of Basic Tractor)	1177 mm	46.3 in	1177 mm	46.3 in	1177 mm	46.3 in
BLADE	Standard		Intermediate		LGP	
7 Blade Width	2782 mm	109.5 in	2921 mm	115 in	3220 mm	126.7 in
8 Blade Height	1073 mm	42.2 in	1010 mm	39.8 in	1010 mm	39.8 in
9 Blade Lift Height	767 mm	30.2 in	767 mm	30.2 in	767 mm	30.2 in
10 Digging Depth	586 mm	23.1 in	572 mm	22.5 in	572 mm	22.5 in
11 Blade Cutting Edge Angle, Adjustable	52° to 58°		52° to 58°		52° to 58°	
Maximum Tilt	402 mm	15.8 in	448 mm	17.7 in	448 mm	17.7 in
Maximum Angle (either side)	25°		25°		25°	
Blade Width at Maximum Angle	2636 mm	103.8 in	2669 mm	105.1 in	2940 mm	115.8 in
Blade Capacity (SAE)	2.19 m ³	2.86 yd ³	2.09 m ³	2.73 yd ³	2.34 m ³	3.06 yd ³

*Abrasion Undercarriage

D3 Track-Type Tractor

Standard and Optional Equipment

Standard and optional equipment may vary. Consult your Cat dealer for details.

	Standard	Optional	Standard	Optional
POWER TRAIN			ATTACHMENTS	
Air cleaner with precleaner, automatic dust ejection and underhood intake	✓		Rigid drawbar	✓
Air cleaner with external precleaner	✓		Drawbar, towing	✓
Aluminum bar plate cooling system (radiator, power train)	✓		Front pull device	✓
Automatic traction control	✓		Machine security system	✓
Cat C3.6 diesel engine	✓		Mounting, winch	✓
Drive, auxiliary	✓		Ripper, parallelogram, includes three shanks and teeth	✓
Dual path, closed loop, hydrostatic transmission	✓		Winch, hydraulic, high performance	✓
Electric fuel pump	✓		Winch, hydraulic, retrieval	✓
Fuel/water separator	✓			
Installation, winch	✓			
Turbocharged aftercooled	✓			
ELECTRICAL			OPERATOR ENVIRONMENT	
Alarm, backup	✓		Armrests, adjustable	✓
Alternator, 150 amp, heavy duty	✓		Cab, with air conditioning	✓
Batteries, heavy duty, maintenance free, 1,000 CCA	✓		Cab, polycarbonate windows and air conditioning	✓
Diagnostic connector	✓		Coat hook	✓
Four integrated front halogen lights, two rear halogen	✓		Controls, seat mounted, fore/aft adjustment	✓
Four integrated front LED lights, two rear LED	✓		Cup holders	✓
Horn, electric	✓		Eco Mode	✓
Starter, electric, 12 V, heavy duty	✓		Electronic Monitoring system with gauge:	
UNDERCARRIAGE			– Chassis slope indication	✓
SALT undercarrige (40 section)	✓		– Diesel exhaust fluid (DEF)	✓
Abrasion undercarrige (36 section)	✓		– Engine coolant temperature	✓
Track Pairs			– Fuel level	✓
– Track, 510 mm (20 in)	✓		– Hydraulic oil temperature	✓
– Track, 660 mm (26 in)	✓		Electronic security system	✓
– Track, 762 mm (30 in)	✓		Engine air cleaner service indicator	✓
Carrier rollers	✓		Engine RPM and gear display	✓
Guards, front/rear guiding	✓		Floor mat, rubber, heavy duty	✓
Seven roller track frame	✓		Foot pads, dash	✓
Track adjusters, hydraulic	✓		Hour meter, electronic	✓
Track rollers, lifetime lubricated	✓		Independent forward/reverse speed settings	✓
HYDRAULIC			Mirror, rearview, inside	✓
Hydraulic pump and oil	✓		Power port, 12 volt	✓
Four valve for use with ripper	✓		Radio, AM/FM Bluetooth®	✓
Four valve for use with winch	✓		ROPS/FOPS canopy	✓
Single lever, three function control	✓		Seat, air suspended, cloth or vinyl	✓
Three valve hydraulics	✓		Seat, air suspension, choice of:	
CAT TECHNOLOGY			– Vinyl, heated seat with heated controls	✓
Cat GRADE with Slope Assist	✓		– Cloth, heated seat with heated controls	✓
Cat GRADE with 3D	✓		– Cloth, heated and ventilated seat with heated controls	✓
Cat Product Link PLE643/PLE743 Cellular	✓		Seatbelt, hi-vis retractable 76 mm (3')	✓
Cat Product Link PLE683/PLE783 Cellular/Satellite	✓		Seatbelt buckling indicator/reminder	✓
Full-color 10 in (254 mm) touchscreen grade display	✓		Single pedal combining decel and brake functions	✓
Power Pitch	✓		Sound suppression	✓
Slope Indicate	✓		Speed recall	✓
Stable blade	✓		Storage compartment	✓
			Throttle switch, rotary	✓
			Travel speed limiter, electronic	✓
OTHER				
			Heater, engine, coolant 120 V/240 V	✓
			Starting aid, ether	✓

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AEHQ8307 (07-2020)

Build Number: 12A

(N Am, EU, Aus-NZ, Japan)



CATERPILLAR LIMITED WARRANTY

For Selected Machine Models Designated by Caterpillar with 12 Month/Unlimited Hour Warranty

Worldwide

Caterpillar Inc. or any of its subsidiaries ("Caterpillar") warrants the following products sold by it to be free from defects in material and workmanship:

- New earthmoving, construction, material handling, forestry product, paving product, compact wheel loader, mini hydraulic excavator, skid steer loader, multi terrain loader, and compact track loader machines designated by Caterpillar as having 12-months/unlimited hour warranty. See your Cat® dealer for a complete listing of covered models.

Attachments/work tools installed on such machines prior to delivery (unless covered by the Cat Work Tool warranty statement or another manufacturer's warranty). Hammer tool points and compacting plates used on hydraulic hammers are not warranted.

An additional warranty against breakage is applicable to certain Cat ground engaging tools. An additional warranty against wear is applicable to all landfill compactor tips when used in residential waste landfills. Refer to the applicable warranty statements for coverage detail.

This warranty does not apply to:

- Cat Batteries
- Mobil-trac belts, rubber tracks used on multi terrain loaders, compact track loaders, and mini hydraulic excavators
- Cat Work Tools
- Select models designated by Caterpillar that are sold in India and China

These products are covered by other Caterpillar warranties. This warranty is subject to the following:

Warranty Period

For new machines and work tools/attachments the warranty period is 12-months/unlimited hours, starting from date of delivery to the first user.

Note:

- For hydraulic line's quick connect/disconnect components sold on compact wheel loaders, mini hydraulic excavators, skid steer loaders, multi terrain loaders, and compact track loader machines, the warranty period is 50 hours starting from the date of delivery to the first user.
- For Draglines, Electric Rope Shovels, Hard Rock Movers, Hard Rock Feeders, the warranty period is not to exceed 24 months from shipment of the last major component from the Caterpillar place of manufacture.

Caterpillar Responsibilities

If a defect in material or workmanship is found during the warranty period, Caterpillar will, during normal working hours and at a place of business of a Cat dealer or other source approved by Caterpillar:

- Provide (at Caterpillar's choice) new, remanufactured, or Caterpillar approved repaired parts or assembled components needed to correct the defect.

Note: New, remanufactured, or Caterpillar approved replacement parts provided under the terms of this warranty are warranted for the remainder of the warranty period applicable to the product in which installed as if such parts were original components of that product. Items replaced under this warranty become the property of Caterpillar.

- Replace lubricating oil, filters, antifreeze, and other service items made unusable by the defect.
- Provide reasonable and customary labor needed to correct the defect.

User Responsibilities

- The user is responsible for:
 - Providing proof of delivery date to the first user.

Labor costs, except as stated under "Caterpillar Responsibilities."

Transportation costs, except as stated under "Caterpillar Responsibilities."

Premium or overtime labor costs.

Parts shipping charges in excess of those that are considered usual and customary.

Local taxes, if applicable.

Costs to investigate complaints, unless the problem is caused by a defect in Caterpillar material or workmanship.

Giving timely notice of a warrantable failure and promptly making the product available for repair.

Performance of the required maintenance (including use of proper fuel, oil, lubricants, and coolant) and items replaced due to normal wear and tear.

Allowing Caterpillar access to all electronically stored data.

Limitations

Caterpillar is not responsible for:

- Failures resulting from any use or installation that Caterpillar judges improper.
- Failures resulting from attachments, accessory items, and parts not sold or approved by Caterpillar.
- Failures resulting from abuse, neglect, and/or improper storage or repair.
- Failures resulting from user's delay in making the product available after being notified of a potential product problem.
- Failures resulting from unauthorized repair or adjustments, and unauthorized fuel setting changes.

(Continued on the reverse side....)

This warranty covers every major component of the products. Claims under this warranty should be submitted to a place of business of a Cat dealer or other source approved by Caterpillar. For further information concerning either the location to submit claims or Caterpillar as the issuer of this warranty, write Caterpillar Inc., 100 N. E. Adams St., Peoria, IL USA 61629, telephone 1 (309) 675-1000, or go to URL www.cat.com. Find Your Dealer

Caterpillar's obligations under this Limited Warranty are subject to, and shall not apply in contravention of, the laws, rules, regulations, directives, ordinances, orders, or statutes of the United States, or of any other applicable jurisdiction, without recourse or liability with respect to Caterpillar.

A) For products operating outside of Australia, Fiji, Nauru, New Caledonia, New Zealand, Papua New Guinea, the Solomon Islands, and Tahiti, the following is applicable:

NEITHER THE FOREGOING EXPRESS WARRANTY NOR ANY OTHER WARRANTY BY CATERPILLAR, EXPRESS OR IMPLIED, IS APPLICABLE TO ANY ITEM CATERPILLAR SELLS THAT IS WARRANTED DIRECTLY TO THE USER BY ITS MANUFACTURER.

THIS WARRANTY IS EXPRESSLY IN LIEU OF ANY OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, EXCEPT CATERPILLAR EMISSION-RELATED COMPONENTS WARRANTY FOR NEW ENGINES, WHERE APPLICABLE. REMEDIES UNDER THIS WARRANTY ARE LIMITED TO THE PROVISION OF MATERIAL AND SERVICES, AS SPECIFIED HEREIN. CATERPILLAR IS NOT RESPONSIBLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES.

CATERPILLAR EXCLUDES ALL LIABILITY FOR OR ARISING FROM ANY NEGLIGENCE ON ITS PART OR ON THE PART OF ANY OF ITS EMPLOYEES, AGENTS, OR REPRESENTATIVES IN RESPECT OF THE MANUFACTURE OR SUPPLY OF GOODS OR THE PROVISION OF SERVICES RELATING TO THE GOODS.

IF OTHERWISE APPLICABLE, THE VIENNA CONVENTION ON CONTRACTS FOR THE INTERNATIONAL SALE OF GOODS IS EXCLUDED IN ITS ENTIRETY.

B) For products operating in Australia, Fiji, Nauru, New Caledonia, New Zealand, Papua New Guinea, the Solomon Islands, and Tahiti, the following is applicable:

THIS WARRANTY IS IN ADDITION TO WARRANTIES AND CONDITIONS IMPLIED BY STATUTE AND OTHER STATUTORY RIGHTS, RESTRICTED OR MODIFIED ("MANDATORY RIGHTS") LAW CANNOT BE EXCLUDED, RESTRICTED OR MODIFIED ("IMPLIED (BY STATUTE OR ALL OTHER WARRANTIES OR CONDITIONS, EXPRESS OR IMPLIED (BY STATUTE OR OTHERWISE), ARE EXCLUDED. WITHOUT LIMITING THE FOREGOING PROVISIONS OF THIS PARAGRAPH, WHERE A PRODUCT IS SUPPLIED FOR BUSINESS PURPOSES, THE CONSUMER GUARANTEES UNDER THE CONSUMER GUARANTEES ACT 1993 (NZ) WILL NOT APPLY.

NEITHER THIS WARRANTY NOR ANY OTHER CONDITION OR WARRANTY BY CATERPILLAR, EXPRESS OR IMPLIED (SUBJECT ONLY TO THE MANDATORY RIGHTS), IS APPLICABLE TO ANY ITEM CATERPILLAR SELLS THAT IS WARRANTED DIRECTLY TO THE USER BY ITS MANUFACTURER.

IF THE MANDATORY RIGHTS MAKE CATERPILLAR LIABLE IN CONNECTION WITH SERVICES OR GOODS, THEN TO THE EXTENT PERMITTED UNDER THE MANDATORY RIGHTS, THAT LIABILITY SHALL BE LIMITED AT CATERPILLAR'S OPTION TO (a) IN THE CASE OF SERVICES, THE SUPPLY OF THE SERVICES AGAIN OR THE PAYMENT OF THE COST OF HAVING THE SERVICES SUPPLIED AGAIN AND (b) IN THE CASE OF GOODS, THE REPAIR OR REPLACEMENT OF THE GOODS. THE SUPPLY OF EQUIVALENT GOODS, THE PAYMENT OF THE COST OF SUCH REPAIR OR REPLACEMENT OR THE ACQUISITION OF EQUIVALENT GOODS.

CATERPILLAR EXCLUDES ALL LIABILITY FOR OR ARISING FROM ANY NEGLIGENCE ON ITS PART OR ON THE PART OF ANY OF ITS EMPLOYEES, AGENTS OR REPRESENTATIVES IN RESPECT OF THE MANUFACTURE OR SUPPLY OF GOODS OR THE PROVISION OF SERVICES RELATING TO THE GOODS.

CATERPILLAR IS NOT LIABLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES UNLESS IMPOSED UNDER MANDATORY RIGHTS.

IF OTHERWISE APPLICABLE, THE VIENNA CONVENTION (CONTRACTS FOR THE INTERNATIONAL SALE OF GOODS) IS EXCLUDED IN ITS ENTIRETY.

C) For products supplied in Australia:

IF THE PRODUCTS TO WHICH THIS WARRANTY APPLIES ARE:

- I. PRODUCTS OF A KIND ORDINARILY ACQUIRED FOR PERSONAL, DOMESTIC OR HOUSEHOLD USE OR CONSUMPTION; OR
- II. PRODUCTS THAT COST AUD 40,000 OR LESS,

WHERE THOSE PRODUCTS WERE NOT ACQUIRED FOR THE PURPOSE OF RE-SUPPLY OR FOR THE PURPOSE OF USING THEM UP OR TRANSFORMING THEM IN THE COURSE OF PRODUCTION OR MANUFACTURE OR IN THE COURSE OF REPAIRING OTHER GOODS OR FIXTURES, THEN THIS SECTION C APPLIES.

THE FOLLOWING MANDATORY TEXT IS INCLUDED PURSUANT TO THE AUSTRALIAN CONSUMER LAW AND INCLUDES REFERENCES TO RIGHTS THE USER MAY HAVE AGAINST THE DIRECT SUPPLIER OF THE PRODUCTS: OUR GOODS COME WITH GUARANTEES THAT CANNOT BE EXCLUDED UNDER THE AUSTRALIAN CONSUMER LAW. YOU ARE ENTITLED TO A REPLACEMENT OR REFUND FOR A MAJOR FAILURE AND COMPENSATION FOR ANY OTHER REASONABLY FORESEEABLE LOSS OR DAMAGE. YOU ARE ALSO ENTITLED TO HAVE THE GOODS REPAIRED OR REPLACED IF THE GOODS FAIL TO BE OF ACCEPTABLE QUALITY AND THE FAILURE DOES NOT AMOUNT TO A MAJOR FAILURE. THE INCLUSION OF THIS TEXT DOES NOT CONSTITUTE ANY REPRESENTATION OR ACCEPTANCE BY CATERPILLAR OF LIABILITY TO THE USER OR ANY OTHER PERSON IN ADDITION TO THAT WHICH CATERPILLAR MAY HAVE UNDER THE AUSTRALIAN CONSUMER LAW.

TO THE EXTENT THE PRODUCTS FALL WITHIN THIS SECTION C BUT ARE NOT OF A KIND ORDINARILY ACQUIRED FOR PERSONAL, DOMESTIC OR HOUSEHOLD USE OR CONSUMPTION, CATERPILLAR LIMITS ITS LIABILITY TO THE EXTENT IT IS PERMITTED TO DO SO UNDER THE AUSTRALIAN CONSUMER LAW TO, AT ITS OPTION, THE REPAIR OR REPLACEMENT OF THE PRODUCTS, THE SUPPLY OF EQUIVALENT PRODUCTS, OR THE PAYMENT OF THE COST OF SUCH REPAIR OR REPLACEMENT OR THE ACQUISITION OF EQUIVALENT PRODUCTS.

THE WARRANTY SET OUT IN THIS DOCUMENT IS GIVEN BY CATERPILLAR INC. OR ANY OF ITS SUBSIDIARIES, 100 N. E. ADAMS ST, PEORIA, IL USA 61629, TELEPHONE 1 309 675 1000. THE USER IS RESPONSIBLE FOR ALL COSTS ASSOCIATED WITH MAKING A CLAIM UNDER THE WARRANTY SET OUT IN THIS DOCUMENT, EXCEPT AS EXPRESSLY STATED OTHERWISE IN THIS DOCUMENT, AND THE USER IS REFERRED TO THE BALANCE OF THE DOCUMENT TERMS CONCERNING CLAIM PROCEDURES, CATERPILLAR RESPONSIBILITIES AND USER RESPONSIBILITIES.

TO THE EXTENT PERMISSIBLE BY LAW, THE TERMS SET OUT IN THE REMAINDER OF THIS WARRANTY DOCUMENT (INCLUDING SECTION C) CONTINUE TO APPLY TO PRODUCTS TO WHICH THIS SECTION C APPLIES.

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CONTROL YOUR COSTS MINIMIZE YOUR RISKS

This plan safeguards your investments in new, used and rebuilt machines beyond the standard warranty period. It includes all parts and labor to protect you against failures caused by defects in materials and workmanship. With the Powertrain Equipment Protection Plan, you can increase the predictability of service and maintenance costs—and reduce unplanned downtime.

WHAT WE DO

- Perform necessary inspections to confirm eligibility
- Install parts approved by Caterpillar on covered repairs
- Validate your enrollment in the program
- Reduce unplanned downtime.

WHAT YOU DO

- Operate equipment according to the Cat Operation & Maintenance Manual (OMM)
- Have recommended preventive maintenance performed at intervals specified in the OMM
- Upon request, provide proof of preventive maintenance compliance (receipts, copies of work orders, invoices)
- Promptly provide the machine for repair in the event of a covered failure



COVERED COMPONENTS

Engines & Accessories

- Camshaft & Camshaft Bearings
- Timing / Accessory Gears
- Timing Chain / Belt
- Inlet / Exhaust Valve
- Valve Cover & Base
- Valve Spring & Guide
- Rocker Arm
- Rocker Shaft Assembly
- Push Rod
- Balancer
- Fuel Pump / Governor Drive
- Fuel Pump / Governor Drive
- Oil Pump
- Oil Pan Group
- Fan & Fan Drive
- Thermostat
- Flywheel & Torque Converter
- Engine Oil Filter Mount
- Turbocharger
- AC Compressor / Condenser
- Electronic Control Modules
- Oil Hoses / Lines (non-hydrostatic)
- Cylinder Block
- Piston
- Piston Rings
- Piston & Connecting Rod
- Crankshaft, Main Bearings & Rod Bearings

Hydrostatic Pumps &

- Drive Motors
- Linkage / lines Connected to Hystat Pump
- Drive (pilot / eh) Control Valves
- Bevel and Transfer Case

Drive Line/Drive Axle

- Axles
- Axle Seals
- Final Drive & Wheel Final Drive Case / Bore
- Final Drive Chain
- Final Drive Gears
- Axle Shaft
- Drive Axle Oil Pump

Steering

- Steering Clutch
- Steering Clutch & Brake Control Valve

Hydraulic Systems

- Hydraulic Oil Coolers

EXCLUSIONS

If a component is not listed, it may not be included in the plan. Other exclusions include:

- > Improper or abusive use of the machine
- > Lubricating oil, antifreeze, filters, consumables and other maintenance items replaced during the covered component repair, unless such items are rendered unusable by a covered component failure
- > Failures caused by normal wear-out
- > Freight charges for parts, shipments
- > Travel time and mileage involved in getting to a job site
- > Hauling costs and / or retrieval costs
- > Overtime labor costs
- > Repair costs resulting from the failure of any non-covered components
- > Downtime loss
- > Equipment rental charges
- > Any incidental / consequential damages or costs incurred as a result of a covered component failure.
- > Modifications unless approved by Caterpillar

Examples of covered and excluded components or items are listed here. The actual dealer contract will govern. For a complete list of included components and more information on Cat Equipment Protection Plans, contact your local Cat dealer.



Employment Eligibility Verification

Click any for help

Welcome
Terence McRae

User ID
TMOFF-102

Last Logon
09:22 AM - 01/06/2012



Log Out

Home

My Cases

New Case

View Cases

Search Cases

My Profile

Edit Profile

Change Password

Change Security Questions

My Company

Edit Company Profile

Add New User

View Existing Users

Close Company Account

My Reports

View Reports

My Resources

View Essential Resources

Take Tutorial

View User Manual

Contact Us

Company Information

Company Name: Thompson Tractor Co., Inc.

[View / Edit](#)

Company ID Number: 47130

Doing Business As (DBA)
Name:

DUNS Number:

Physical Location:

Mailing Address:

Address 1: 2401 Pinson Highway

Address 1: P.O. Box 10367

Address 2:

Address 2:

City: Birmingham

City: Birmingham

State: AL

State: AL

Zip Code: 35217

Zip Code: 35202-0367

County: JEFFERSON

Additional Information:

Employer Identification Number: 630377478

Total Number of Employees: 1,000 to 2,499

Parent Organization:

Administrator:

Organization Designation:

Employer Category:

NAICS Code: 423 - MERCHANT WHOLESALERS, DURABLE GOODS

[View / Edit](#)

Total Hiring Sites: 40

[View / Edit](#)

Total Points of Contact: 3

[View / Edit](#)

[View / Edit](#)

**Request for Taxpayer
 Identification Number and Certification**

Give form to the
 requester. Do not
 send to the IRS.

Print or type See Specific Instructions on page 2	Name (as shown on your income tax return) <u>Thompson Tractor Co., Inc. DBA Thompson Power Systems, Thompson Lift Truck Co.</u>	
	Business name, if different from above <u>and The Cat Rent Store</u>	
Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (D=dissociated entity, C=corporation, P=partnership) ► <input type="checkbox"/> Other (see instructions) ►		<input checked="" type="checkbox"/> Exempt payee
Address (number, street, and apt. or suite no.) <u>P O Box 10367 2401 Pinson Hwy. Tarrant, AL 35217</u> City, state, and ZIP code <u>Birmingham, AL 35202-0367</u>		Requester's name and address (optional)
List account number(s) here (optional) <u>Lockbox Remit To: P O Box 934085, Atlanta, GA 31193-4005</u>		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I Instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
 ; ;

or

Employer identification number
 63 : 0377478

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification Instructions. You must cross out Item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, Item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the Instructions on page 4.

**Sign
 Here**

Signature of
 U.S. person ►

Linda X. Denton, Controller

Date ►

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity.

Company ID Number: 47130

the Employer issues a tentative nonconfirmation based upon a photo non-match. The Employer will determine whether the employee contests the tentative nonconfirmation as soon as possible after the Employer receives it.

4. If the employee contests a tentative nonconfirmation issued by DHS, the Employer will provide the employee with a referral letter and instruct the employee to contact the Department through its toll-free hotline within 8 Federal Government work days.

5. If the employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will provide the employee with a referral letter to DHS. DHS will electronically transmit the result of the referral to the Employer within 10 Federal Government work days of the referral unless it determines that more than 10 days is necessary.

6. The Employer agrees that if an employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will send a copy of the employee's Form I-551 or Form I-766 to DHS for review by:

- Scanning and uploading the document, or
- Sending a photocopy of the document by an express mail account (furnished and paid for by DHS).

7. The Employer understands that if it cannot determine whether there is a photo match/non-match, the Employer is required to forward the employee's documentation to DHS by scanning and uploading, or by sending the document as described in the preceding paragraph, and resolving the case as specified by the Immigration Services Verifier at DHS who will determine the photo match or non-match.

ARTICLE IV

SERVICE PROVISIONS

The SSA and DHS will not charge the Employer for verification services performed under this MOU. The Employer is responsible for providing equipment needed to make inquiries. To access the E-Verify System, an Employer will need a personal computer with Internet access.

ARTICLE V

PARTIES

This MOU is effective upon the signature of all parties, and shall continue in effect for as long as the SSA and DHS conduct the E-Verify program unless modified in writing by the mutual consent of all parties, or terminated by any party upon 30 days prior written notice to the others. Any and all system enhancements to the E-Verify program by DHS or SSA, including but not limited to the E-Verify checking against additional data sources and instituting new verification procedures, will be covered under this MOU and will not cause the need for a supplemental MOU that outlines these changes. DHS agrees to train employers on all changes made to E-Verify through the use of mandatory refresher tutorials and updates to the E-Verify manual. Even

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without changes to E-Verify, the Department reserves the right to require employers to take mandatory refresher tutorials.

Termination by any party shall terminate the MOU as to all parties. The SSA or DHS may terminate this MOU without prior notice if deemed necessary because of the requirements of law or policy, or upon a determination by SSA or DHS that there has been a breach of system integrity or security by the Employer, or a failure on the part of the Employer to comply with established procedures or legal requirements. Some or all SSA and DHS responsibilities under this MOU may be performed by contractor(s), and SSA and DHS may adjust verification responsibilities between each other as they may determine.

Nothing in this MOU is intended, or should be construed, to create any right or benefit, substantive or procedural, enforceable at law by any third party against the United States, its agencies, officers, or employees, or against the Employer, its agents, officers, or employees.

Each party shall be solely responsible for defending any claim or action against it arising out of or related to E-Verify or this MOU, whether civil or criminal, and for any liability wherefrom, including (but not limited to) any dispute between the Employer and any other person or entity regarding the applicability of Section 403(d) of IIRIRA to any action taken or allegedly taken by the Employer.

The employer understands that the fact of its participation in E-Verify is not confidential information and may be disclosed as authorized or required by law and DHS or SSA policy, including but not limited to, Congressional oversight, E-Verify publicity and media inquiries, and responses to inquiries under the Freedom of Information Act (FOIA).

The foregoing constitutes the full agreement on this subject between the SSA, DHS, and the Employer.

The individuals whose signatures appear below represent that they are authorized to enter into this MOU on behalf of the Employer and DHS respectively.

To be accepted as a participant in E-Verify, you should only sign the Employer's Section of the signature page. If you have any questions, contact E-Verify Operations at 888-464-4218.

Employer Thompson Tractor Co., Inc.

Frank M Wright

Name (Please type or print) _____ Title _____

Electronically Signed _____ Date 07/11/2007

Signature _____ Date _____

Department of Homeland Security – Verification Division

Company ID Number: 47130

**INFORMATION REQUIRED
FOR THE E-VERIFY PROGRAM**

Information relating to your Company:

Company Name: Thompson Tractor Co., Inc.

Company Facility Address: 2401 Pinson Highway
Birmingham, AL 35217

Company Alternate Address: P.O. Box 10367
Birmingham, AL 35202-0367

County or Parish: JEFFERSON

Employer Identification Number: 630377478

North American Industry Classification Systems Code: 423

Parent Company: _____

Number of Employees: 1,000 to 2,499 Number of Sites Verified for: 5

Are you verifying for more than 1 site? If yes, please provide the number of sites verified for in each State.

• GEORGIA 5 site(s)

Information relating to the Program Administrator(s) for your Company on policy questions or operational problems:

Name:	Kimberly A Stark	Fax Number:	(205) 849 - 4565
Telephone Number:	(205) 849 - 4279		
E-mail Address:	kimberlystark@thompsontractor.com		
Name:	Frank M Wright	Fax Number:	(205) 849 - 4854
Telephone Number:	(205) 849 - 4267		
E-mail Address:	frankwright@thompsontractor.com		

Company ID Number: 47130

USCIS Verification Division

Name (Please type or print)	Title
<i>Electronically Signed</i>	07/11/2007
Signature	Date